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BALINESE PAPYRUS MANUSCRIPT IMAGE SEGMENTATION USING DBSCAN CLUSTERING METHOD

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ABSTRACT

Papyrus Manuscript is handwritten in the form of an ancient script and written using Balinese script. Existence of Balinese Papyrus Manuscript in Balinese's life is scarce. Today's young generation almost left Balinese Papyrus Manuscript because of its complexity and difficult to understand. This traditional manuscript used Balinese Letters, which had some unique characteristics and patterns. This unique characteristic could identified using image processing technologies, which presented in this research. Segmentation on Balinese Papyrus Manuscript image is to get Balinese Script letter object. The result of segmentation process would be used to helped recognized Balinese Letters by its features, with a computerbased application. Segmentation of the Balinese Papyrus Manuscript image used DBSCAN clustering method. This research consist of three steps. First step was image acquisition. The second step was image pre-processing. The last step was segmentation process using DBSCAN clustering method. The average success rate of segmentation process reached 78.8% with 21.2% error rate. The average of excecution time when the testing process reached 27.227 seconds.

Keywords: Balinese Papyrus Manuscript, Balinese Script, Image Segmentation, Clustering DBSCAN, Image Acquisition.

1. INTRODUCTION

Technological advances bring some positive and negative impacts. Today's young generation almost left some positive traditional cultures. This is one of the negative impact of technological advances. Young generation prefer to following the trend than reading a book or learning about traditional cultures. Indonesia is a state which has a variated traditional cultures, one of them is traditional literature. In Bali (a province in Indonesia) there are thousand traditional literature, called *Lontar* (or Balinese Papyrus Manuscript).

In Bahasa, Manusript on a papyrus refers to the word *Lontar*. The word *Lontar* is adopted from phrases "ron" and "tal", which has means a palm tree (*Borassus flabellifer* or palmyra). *Lontar* was made from a sheet of dried palm leaf (papyrus). The dried palm leaf then written on the surface of it. Balinese forefathers usually wrote a tale, knowledge, history, etc, on the papyrus. Balinese Papyrus Manuscript is one of important cultural inheritance from Balinese forefathers. Balinese Papyrus Manuscript contains theory and knowledge like history of civilization, traditional-medical knowledge, macrocosmos and microcosmos knowledge, and so on. But in nowadays, exsistence of Balinese Papyrus Manuscript is scarce. Balinese Papyrus Manuscript slowly disappear, because young generation reluctant for sustaining this traditional manuscript. Balinese Papyrus Manuscript is rather difficult to created and understand.

Balinese letters have some unique characteristic and patterns. Almost all the Balinese letters have a curved patters. Some characteristic or features of Balinese leters is able to identified using image processing technique [1]. Character of Balinese script is obtained from the process of segmentation. Segmentation is a process that determines the character is obtained intact.

Based on that problems, we developed an application to make a segmentation on Balinese Papyrus Manuscript image using DBSCAN (Density-Based Spatial Clustering of Applications with Noise) algorithm. This research was expected to helped and motivated the young generation, especially in Bali, Indonesia, for continuing learning Balinese Papyrus Manuscript.

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The rest of this paper is organized as follows. Section 2 presents some previous studies about Balinese script image segmentation, capture of handwriting segmentation and clustering DBSCAN. Section 3 presents literature reviews about DBSCAN, data acquisition, pre-processing, HSV color space, thresholding, and image segmentation. Section 4 presents an overview of the Balinese Papyrus Manuscript segmentation system. Section 5 presents the result of the experiment process and some analysis about the result. Section 6 presents the conclusion and further work of this research.

2. RELATED RESEARCH

Segmentation of Balinese Scripts or another language scripts had been researched several times. In 2014, Made Sudarma and Ni Putu Sutramiani did a research with title "The Thinning Zhang-Suen Application Method in the Image of Balinese Script on the Papyrus". They designed an application to studied the performance of Thinning Zhang-Suen method in Balinese script segmentation at Balinese Papyrus. Their research showed that segmentation using Thinning Zhang-Suen method could separate the script [2]

In 2015, I Gede Sunarya, Made Windu Antara Kesiman and Ida Ayu Putu Purnami did a research titled "Segmentation of Bali Script-Based Image Image Based on Vertical and Horizontal Projection". This research provided a solution for recognizing balinese script from an image [3]

In 2008, Jonghyun Park, Toan Nguyen Dinh and Gueesang Lee did a research with title "Binarization of Text Region based on Fuzzy Clustering and Histogram Distribution in Signboards". This research used Fuzzy C-Means clustering method. The object used in this research was the brand mark of some Korean and British boards. The research focused on how to detected the text area of the brand image using edge profile technique and continued with segmentation process using Fuzzy C-Means clustering technique. This research resulted that Fuzzy C-Means clustering technique was able to clusters each region that had been distinguished into text area (black) and background area (white) [4].

In 2015, Priyanka N Guttedar and Pushpalata S did a research titled "Scene Text Recognition in Mobile Application using K-Means Clustering and Support Vector Machine". This research used K-Means Clustering method. The object used in this research was an image which was captured using a mobile-device camera. This study focused on the recognizing process of the image. K- Means Clustering technique used for the segmentation process, to detected and recognized characters that were existed in the image [5].

In 2014, Shantala Giraddi, Jagadeesh Pujari and Shraddha Giraddi did a research with title "Exudates Detection with DBSCAN Clustering and Back Propagation Neural Network". This research used DBSCAN (Density-Based Spatial Clustering of Application with Noise) and Back Propagation Neural Network method. This research used a Diabetic Retinopathy image. The research purposed to detected blood vessels in the retina. The DBSCAN (Density-Based Spatial Clustering of Application with Noise) and Back Propagation Neural Network techniques were combined to detect some signals of Diabetic Retinopathy disease in the patient's retina. There were two result from this research, those are wound-based accuracy and picture-based accuracy. Picture-based accuracy reached 100% in sensitivity, while the wound-based accuracy reached 92% in sensitivity [6].

In 2009, Fei Yin and Cheng-Lin Liu did a research titled "Handwritten Chinese Text Line Segmentation by Clustering with Distance Metric Learning. This research uses MST (Minimum Spanning Tree) Based Clustering Method". The object used in this research was an image of Chinese writing scripts. The research focused on segment the line of handwritten text based on MST Clustering algorithm. This research resulted a good segmentation on every line of script, but some errors happend on some lines caused by error-spliting-line process and erroneus of combining text line into a cluster [7].

The DBSCAN method is also one of the recommended alternative methods of reconstruction and OCR of literary works using the Devnagari Letter [8]. Devnagari letters have similarity model with Balinese Character, so it is expected the use of DBSCAN on clustering image Balinese Papyrus Manuscript also gives good results. Another Reseach using the DBScan method is "Color Image Segmentation Using Density-Based Clustering". This reseach conducted by Qixiang Ye, the color image is segmented by integrating the space and color features of the pixels. Images can be thought as datasets where each pixel has a spatial location and a color value, the colored image is segmented by grouping pixels into different clusters of coherent spatial connectivity. Pixel spatial connectivity found by using density-based clusters (DBScan), where this grouping method is effectively used in data mining to locate spatial databases. The experimental results show subtle segmentation in the perception of the human [9].

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The DBScan method also using in reseach with title "Segmentation of Images using Density-Based Algorithms". In this study presents a comparison between two algorithms Density Based Clustering (DBScan) and Mean Shift. The noise image is filtered using a median filter then clustered using the DBScan algorithm. Density-based Clustering algorithms are used to find the same spatial connectivity and pixel colors. This group will lead to the pixel partition and subsequently separate the noise points. Experimental results using the DBScan method show system accuracy and complexity much better than Mean Shift method [10].

Reseach from Andrea Mazzei is used DBScan method to segmentation handwritten annotations on printed documents. The proposed of their reseach is to classification automatically and to find classification accuracy. The result from this segmentation that DBSCAN effectively identify and handle the noise pixels [11]. The DBScan algorithm is also used by Jianbing Shen to segment complex object. In this paper described that DBScan can find arbitrily cluster. This method give a good result to segment object with complex and irregularity shape [12].

The DBScan method describes in the research above shown that the method has a good result in image segmentation. Therefore, in this reseach used Density-Based clustering method (DBScan) to segment the Balinese Papyrus Manuscript image.

3. LITERATURE REVIEW

Literature reviews of this research are presented as follows.

3.1 DBSCAN

Clustering in generally may applied in many kind of data processing such as data minning, statistic, biology and pattern recognition. Clustering is a process of dividing a large set of data into some cluster, based on their features. Epsilon refers to distance between each cluster and minimum points refers to the minimum amount of points from every clusters. The explanation of DBSCAN showed at Figure 1. There are two important cluster and noise in DBSCAN algorithm [13]. The two points in a cluster are core point and border point. Border point neighborhood should have less number of items than core point neighborhood. A few conditions explain that border point could be included into more than one clusters.



3.2 DBSCAN Algorithm

Steps of DBSCAN algorithm is written as follows.

- 1. Select the *p* point randomly.
- 2. Get every density-reachable point to the *p*.
- 3. If the *p* is the core point, then cluster will be formed.
- 4. When the *p* is a *border point* and is not *density-reachable*, then DBSCAN will pick the next point from the database.
- 5. The process is continued until every point are processed.
- 6. The order of picking point will not affected the result of DBSCAN process.

3.3 Euclidean Distance

DBSCAN calculate the radius of every point using Euclidean Distance. Euclidean distance is used for calculating squareroot between two vectors with this formula.

$$d_{ij} = \sqrt{\sum_{k=1}^{n} (x_{ik} - x_{jk})^2}$$
(1)

D is a radius between two objects, n is the amount of dimensions, x_{ik} and x_{jk} is the k-data from the object i and j. Rules for determining the standard is needed if the scale is different. For an example, there are two vectors with features like this.

$$A = [0, 3, 4, 5]$$
$$B = [7, 6, 3, -1]$$

Here is the distance calculation between vector A and vector B using euclidean distance.

$$= \sqrt{(0-7)^2 + (3-6)^2 + (4-3)^2 + (5-(-1))^2}$$

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 $=\sqrt{49+9+1+36}=9.747$

3.4 Data Acquisition

Data acquisition is a scanning process of an image through data modification from an analog to a digital form. Balinese Papyrus Manuscript is an analog data that need a scanner to scan it and get the image. The result of this process is a .bmp image. This image will be used as an input for preprocessing phase.

3.5 Preprocessing

Pre-processing is a process for enhancing the image quality. This enhancing process will increase the success rates of recognition. Preprocessing begins with the rotation process on Balinese Papyrus Manuscript image. In general, the scans of an object are not always symmetric between the corners. The automatic rotation function will change the angle (slope) if the image is not symmetrical. The basic principle of the automatic rotation process is to change the image projection over 360 degrees. The maximum value for the angle is 90 degrees. If the image has an angle more than 89 degrees or less than -89 degrees, then the angle value of the image will be set back 90 degrees. The Image that already processed in automatic rotation will be converted into HSV color.

3.5.1 Color Map HSV

HSV color space represent Hue, Saturation and Value. Hue represent the true color of an object, like red, violet, and yellow. Hue can distinguish and determining colors from the light. Saturation is the original level of a color, and represent the amount of white color. Value is an attributes that represent the amount of lights receive by the eyes.

3.5.2 Thresholding

Thresholding is the way to change a grayscale image to the binary image. Binary image will showed an explicit border between the object and the background. Thresholding is a segmentation technique using borders of an image [14]. This thresholding method use grayscale level to automatically get the threshold value. If a grayscale value less than or same with the threshold value, then it changed into 0. If a grayscale value more than the threshold, then it changed into 255.

3.5.3 Image Segmentation

Segmentation is a technique to divided image into some areas. Each of areas has simillar attribute to the other. There are several segmentation techniques like pixel-based, region-based, boundary-based and clustering [15]. Cluster-based segmentation uses multidimentions data for groupping image pixels into some clusters. Pixels will be clustered based on their simillar radius.

4. System Overview

The main contribution of this paper are proposed DBScan algorithm can give an effective performance to segment the Balinese Papyrus Manuscript like describe in state of the art and by using DBScan algorithm can reduce time computation.

This system had three main processes: data acquisition, pre-processing and image segmentation. Overview of the system was shown at Figure 2.

The first step was data acquisition. Data acquisition was a process which changed an analog balinese papyrus manuscript into a digital image by using a scanner. The image then saved into bmp extension. Next, the image was processed in the preprocessing process.

The second process was determining the color space using HSV method. The Value component from HSV color space is the most suitable componen for this system. The value component is capable of distinguishing object from the background.

The third process was pre-processing. If the .bmp image was not formed into binary image yet, then the image converted into the binary form, before processed in the pre-processing process.

The last process was clustering, which was used DBSCAN method. The final result from the segmentation process was clusters of balinese characters from the Balinese Papyrus Manuscript. Output of the system was the an image of Balinese script, which was the result of segmentation process.

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Figure 2: System Overview

5. Experimental Result and Analysis

Steps for the experimental process of Balinese Papyrus segmentation image is organized as follows.

5.1 Image Acquisition

Sample data which used for this experiment process was a handwritten Balinese Papyrus Manuscript. The papyrus manucript was scanned using a scanner and was saved into a .bmp image. Figure 3 showed the result of scanning process.



Figure 3: Scanned Image of Balinese Papyrus Manuscript

5.2 Preprocessing

Scans images from image acquisition sometimes do not always symmetry between the angles so it takes automatic rotation to get a symmetrical image. This process is shown in Figure 4.



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The next process in preprocessing is to change RGB color image to HSV color space. *Hue, Saturation* and *Value* component represented colors space of the image at Figure 5.



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(c) Value Component

Figure 5: HSV Color Space. (a) Hue Component, (b) Saturation Component, (c) Value Component

RGB color of the original image was changed into the HSV color. From Figure 4 shown the value component is the best result. The thresholding process would used the Value component. The value component could helped to distinguished an object from it background.

5.3 Thresholding

The value component of HSV color space would be used in Thresholding process. This Thresholding process produced an image which had explicit borders between the script and background, as shown at Figure 5.



Figure 5: Binary Image

The image of balinese papyrus had converted into a binary image, which was contained only black and white colors. This binary image then processed at the segmentation phase, using DBSCAN algorithm.

5.4 Image Segmentation and DBSCAN Clustering

At this phase, the image was segmented, then divided into clusters. This experiment used a scanned image of Balinese Papyrus Manuscript. Clustering process using DBSCAN Algorithm with variation of minimum point value (minpts) and epsilon (eps) is applied. The DBSCAN method places high density areas that are separated from each other by low density areas. There are two input parameters to form clusters is Eps and MinPts. Eps gives a one cluster radius and MinPts gives a minimum number of points, this is for spatial datasets and objects in two-dimensional space. Balinese Papyrus Manuscript interface application is shown in Figure 6.

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Figure 6 : The Application of Balinese Papyrus Manuscript Image Segmentation

5.4.1 Result of Segmentation Process (Lontar1.bmp)

and epsilon used were MinPts(3) and epsilon (sqrt 2). Table 1 showed the result of the segmentation.

This experiment used Lontar1.bmp file as input file. The value of minimum points (MinPts)

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| 33 | 8 | 73 | Ĩ | 113 | 1 | 153 | , | 193 | . |
| 34 | 3 | 74 | K) | 114 | | 154 | ́о О | 194 | 3 |
| 35 | h | 75 | 0 | 115 | 3 | 155 | | 195 | 20 |
| 36 | Ŕ | 76 | in. | 116 | • | 156 | 9 | 196 | 5 |
| 37 | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | 77 | ~~ ~~ | 117 | 10 | 157 | T D) | 197 | ay |
| 38 | - - | 78 | <u>(יאר</u> | 117 | ^ | 157 | 97() | 197 | ŵ |
| 39 | 3 | 79 | | 119 | , | 159 | Ž | 199 | |
| 40 | Ŕ | 80 | ŝ | 120 | ¢ | 160 | ŝ | 200 | |

The number of segmentation result from the Balinese Papyrus Manuscript image (Lontar1.bmp)

were 199 characters. Some characters were not segmented well because there were still connected

Journal of Theoretical and Applied Information Technology

www.jatit.org

15th September 2018. Vol.96. No 17 © 2005 - ongoing JATIT & LLS



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pixel between two characters. This experiment process used 3 image of Balinese Papyrus Manuscript. Variation of minimum points and epsilon at the experiment process were MinPts 2 and 3, and epsilon from 1 to 5. Experiment of

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segmentation focused on MinPts (3) with epsilon (sqrt 2 and sqrt 3). Result of segmentation using 3 image of Balinese Papyrus Manuscript showed at Table 2.

| Objek | | ble 2 : Result of the Segmentation Using 3 Image Min Pts | | | | | | | | |
|---------|-----------|---|--------|----------|-----|-----|-----|--|--|--|
| | | Lontar | Lontar | tar3.bmp | | | | | | |
| F1 | | 2 | 3 | 2 | 3 | 2 | 3 | | | |
| Epsilon | Epsilon 1 | 288 | 246 | 395 | 328 | 323 | 280 | | | |
| | Epsilon 2 | 214 | 199 | 236 | 213 | 203 | 191 | | | |
| | Epsilon 3 | 214 | 199 | 236 | 213 | 203 | 191 | | | |
| | Epsilon 4 | 185 | 176 | 202 | 184 | 173 | 164 | | | |
| | Epsilon 5 | 161 | 154 | 170 | 159 | 152 | 143 | | | |

T 11 2 D 1. 6.1 0 tation Using 3 h

Table 2 showed experiment result from the segmentation process, with combination of minPts 3 and 2, and epsilon 1 to 5. The number of segmentation resulted by those 3 image would be compared visually with the original Balinese Papyrus Manuscript. A comparison was made to found and calculated Balinese letters which is obtained between noises from segmentation results.

The number of characters in the first Balinese Papyrus Manuscript (Lontar1) was 217 characters, papyrus 2 was 217 characters and papyrus 3 was 208 characters. The number of characters found from the

segmentation was 162 with 37 noises from first image (first Balinese Papyrus Manuscript), 173 characters with 40 noises from the second image, and 171 characters with 20 noises from the third image. The comparison between the number of characters found from the segmentation and the original Balinese Papyrus Manuscript would be calculated to find the success and error rate of DBSCAN algorithm. Comparison were performed on minimum points (3) with epsilon (sqrt 2) and epsilon (sqrt 3). Success and error rates of DBSCAN algorithm were showed in Table 3 and 4.

| Object | Lontar 1 = 217 | Min Pts | | | | | | |
|---------|----------------------------------|---------------------------|---------------------------|---------------------------|--|--|--|--|
| Encilon | Lontar 2 = 217 Lontar 3 = 208 | Lontar 1 | Lontar 2 | Lontar 3 | | | | |
| Epsilon | | 3 | 3 | 3 | | | | |
| | Epsilon 2 | 162/217 x 100% = 74,6% | 173/217 x 100% = 79,7% | 171/208 x 100% = 82,2% | | | | |
| | Epsilon 3 | 162/217 x 100% = 74,6% | 173/217 x 100% = 79,7% | 171/208 x 100% = 82,2% | | | | |

Table 3 : Success Rate of DBSCAN Algorithm

| Object | Lontar 1 = 217 | Min Pts | | | | |
|----------|--|---------------------------------|---------------------------------|---------------------------------|--|--|
| Epsilon | Lontar $2 = 217$ Lontar $2 = 217$ Lontar $3 = 208$ | Lontar 1 | Lontar 2 | Lontar 3 | | |
| Liberton | Lontal 5 – 208 | 3 | 3 | 3 | | |
| | Epsilon 2 | 217 - 162/217 x 100% = 25,3% | 217 - 173/217 x 100% = 20,2% | 208 - 171/208 x 100% = 17,7% | | |

Table 4. Error Rates of DRSCAN Algorithm

Journal of Theoretical and Applied Information Technology

<u>15th September 2018. Vol.96. No 17</u> © 2005 – ongoing JATIT & LLS



| Epsilon 3 | 217 - 162/217 x | 217 - 173/217 x | 208 - 171/208 x |
|-----------|-----------------|-----------------|-----------------|
| | 100% = 25,3% | 100% = 20,2% | 100% = 17,7% |

Table 3 and Table 4 showed the success and error rates of the DBSCAN algorithm. All the two table above showed the clustering with MinPts 3 and

epsilon (sqrt 2) and epsilon (sqrt 3) resulted 78.8% of success rates and 21.1% of error rates.

| Object | | Min Pts | | | | | | | | |
|---------|-----------|----------|----------|----------|----------|----------|----------|--|--|--|
| | | Loi | ntar 1 | Lon | itar 2 | Lontar 3 | | | | |
| | | 2 | 3 | 2 3 | | 2 | 3 | | | |
| Epsilon | Epsilon 1 | 36.431 s | 34.569 s | 36.378 s | 33.094 s | 31.105 s | 28.956 s | | | |
| | Epsilon 2 | 36.115 s | 30.830 s | 28.004 s | 26.125 s | 24.404 s | 24.601 s | | | |
| | Epsilon 3 | 32.813 s | 32.341 s | 27.202 s | 25.473 s | 25.432 s | 23.992 s | | | |
| | Epsilon 4 | 31.216 s | 29.849 s | 26.232 s | 24.232 s | 23.287 s | 22.932 s | | | |
| | Epsilon 5 | 29.725 s | 28.269 s | 25.102 s | 23.444 s | 22.404 s | 21.627 s | | | |

Table 5: Measurement of DBSCAN Clustering Time

Table 5 was showed the time measurement of this experiment with minimum points (minPts) 2 and 3, and epsilon (eps) values from 1 to 5. This time measurement focused on the minimum points (3) with epsilon (sqrt 2) and epsilon (sqrt 3). The average execution time of testing process was 27.227 seconds.

The variation of execution time depended on the minimum points and epsilon used during the clustering process. The average success rates of the segmentation using DBSCAN was 78,8% with the error rate reached 2,1%. The average of execution time at testing process was 27,227 seconds. The success rates of the segmentation using DBSCAN was affected by usage of minimum points (3) with epsilon (sqrt 2) and epsilon (sqrt 3). Usage of epsilon (sqrt 2) and epsilon (sqrt 3) caused the DBSCAN algorithm found the closest distance between pixels in every iterations, and supported by the minimum points (3) for the cluster's formed process, thus could resulted a better segmentation.

6. CONCLUSIONS

Balinese Papyrus Manuscript image segmentation is needed as a preliminary process to get Balinese script character that will be used in further process. This Applications consist of three steps, there were data acquisition, preprocessing and segmentation process using DBScan method. The average success rates of the segmentation using DBSCAN was 78,8% with the error rate reached 2,1%. The average of execution time at testing process was 27,227 seconds. The success rates of the segmentation using DBSCAN was affected by usage of minimum points (3) with epsilon (sqrt 2) and epsilon (sqrt 3). Usage of epsilon (sqrt 2) and epsilon (sqrt 3) caused the DBSCAN algorithm found the closest distance between pixels in every iterations, and supported by the minimum points (3) for the cluster's formed process, thus could resulted a better segmentation. Pre-processing process really affected to the success rates of segmentation using DBSCAN algorithm. Handwriting type and the form of the script on the Balinese Papyrus manuscript were really influence the segmentation process.

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