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### PARALLEL IMPLEMENTATION OF APRIORI ALGORITHMS ON THE HADOOP-MAPREDUCE PLATFORM- AN EVALUATION OF LITERATURE

#### A.L.SAYETH SAABITH<sup>1</sup>, ELANKOVAN SUNDARARAJAN<sup>2</sup>, AND AZURALIZA ABU BAKAR<sup>3</sup> <sup>1,2</sup>Centre for Software Technology and Management, <sup>3</sup>Center for Artificial Intelligence and Technology, Faculty of Information.

Science and Technology, Universiti Kebangsaan Malaysia, UKM Bangi, 43600, Selangor-DE, Malaysia.

### A B S T R A C T

Data mining is the extraction of useful, prognostic, interesting, and unknown information from massive transaction databases and other repositories. Data mining tools predict potential trends and actions, allowing various fields to make proactive, knowledge-driven decisions. Recently, with the rapid growth of information technology, the amount of data has exponentially increased in various fields. Big data mostly comes from people's day-to-day activities and Internet-based companies. Mining frequent itemsets and association rule mining (ARM) are well-analysed techniques for revealing attractive correlations among variables in huge datasets. The Apriori algorithm is one of the most broadly used algorithms in ARM, and it collects the itemsets that frequently occur in order to discover association rules in massive datasets. The original Apriori algorithm is for sequential (single node or computer) environments. This Apriori algorithm has many drawbacks for processing huge datasets, such as that a single machine's memory, CPU and storage capacity are insufficient. Parallel and distributed computing is the better solution to overcome the above problems. Many researchers have parallelized the Apriori algorithm. This study performs a survey on several well-enhanced and revised techniques for the parallel Apriori algorithm in the Hadoop-MapReduce environment. The Hadoop-MapReduce framework is a programming model that efficiently and effectively processes enormous databases in parallel. It can handle large clusters of commodity hardware in a reliable and fault-tolerant manner. This survey will provide an overall view of the parallel Apriori algorithm implementation in the Hadoop-MapReduce environment and briefly discuss the challenges and open issues of big data in the cloud and Hadoop-MapReduce. Moreover, this survey will not only give overall existing improved Apriori algorithm methods on Hadoop-MapReduce but also provide future research direction for upcoming researchers.

Keywords: Data mining big data ARM Hadoop-MapReduce Cloud Apriori

### **1 INTRODUCTION**

Data mining is the process of extracting useful, potential, novel, understandable, and concealed information from databases that are huge, noisy, and ambiguous[40,97]. Data mining plays a vital role in various applications in the modern world, such as market analysis, credit assessment, fraud detection, medical and pharma discovery, fault diagnosis in production systems, insurance and healthcare, banking and finance, hazard forecasting, customer relationship management (CRM), and exploration of science [6,47,69,71,87,95]. Many view data mining as synonymous to Knowledge Discovery from Data (KDD), while others consider data mining as an essential stage in the KDD process [25,47,128]. The outline of the KDD process is shown in Figure 1.

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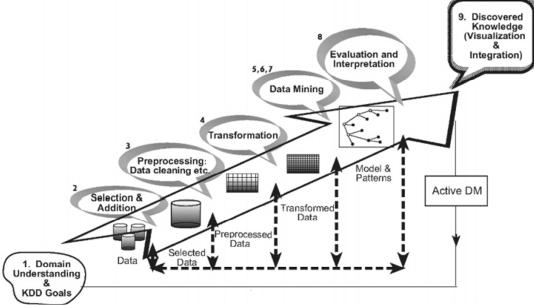
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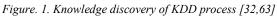


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The first step is to define a problem from a particular domain that contains appropriate previous knowledge and particular application goals. The second process is choosing an appropriate dataset, which consists of a dataset or concentrates on a subset of variables or data samples on which discovery is to be accomplished. Pre-processing is the third step of the KDD process, which consists of data collecting, data cleaning, and data selection. It is the key step in the KDD process, and it removes noise, outliers, and redundant or irrelevant information, handles missing data fields, and determines DBMS issues, such as types of data, schema, and the managing of missing and unknown values.

The sixth step is choosing the proper data mining algorithm(s), which includes selecting technique(s) to be used to find the patterns of the data, such as deciding which models may be proper and matching a particular data mining technique with the KDD process. The seventh key step is data mining, which includes discovery of the interesting patterns in the particular assigned dataset, including classification rules, decision trees, regression, clustering, sequence modelling, dependency, and line analysis. The eighth step is interpretation, which consists of data mining techniques and finding out whether a good clustering or classifying approach must interpret the result of such an approach. If a result cannot be explained properly, it is useless for further





The fourth step is data transformation, which may refer to data reduction and projection; this process helps to discover the most valuable features of the data that is depending on the task and applies dimensionality reduction, such as reducing the number of attributes, attribute values, and tuples, or transformation methods, such as normalization, aggregation, generalization, and attribute construction, to reduce the effective number of variables under consideration or to find invariant representations for the data. The fifth step is one of the most important processes for selecting the appropriate function of data mining; it constructs a suitable model derived by the particular data mining algorithms (e.g. association rule mining, classification, summarization, clustering, and regression).

application. The last step is utilizing the discovered knowledge, i.e. using a newly discovered set of knowledge for future analysis and the prediction of new models [128].

Business intelligence has become an integral part of many successful organisations. Analysing data and making decisions based upon the analysis is very important for an organisation's growth. Data mining techniques help analyse the substantial data available to assist in decisionmaking. Association Rule Mining (ARM) or Frequent Itemset Mining (FIM) is one of the key areas of the data-mining paradigm. It is intended to extract interesting relationships, patterns, and associations among sets of items in the transaction database or other data repositories [12,20,43,128]. The most typical application of ARM is in market basket analysis, which analyses

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the purchasing behaviour of customers by finding items that are frequently purchased together. In addition to the many business application, it is also applicable to telecommunication networks, web log mining, market and risk management, inventory control, bio-informatics, medical diagnosis and text mining [34,47].

The Apriori algorithm is one of the best classical algorithms for discovering frequent itemsets from a transactional database, but it has some drawbacks, such as that it scans the dataset many times to generate frequent itemsets and that it generates many candidate itemsets. When data mining mainly deals with large volumes of data, both memory usage and computational cost can be very high; also, a single processor's memory and central processing unit resources are restricted, which impacts the inefficient performance of the algorithm [5,74]. One way to improve the performance and efficiency of the Apriori algorithm is parallelizing and distributing the process of generating frequent itemsets and association rules. These versions of parallel and distributed Apriori algorithms improve the mining performance but also have some overheads, such as workload balancing, partitioning of input data, reduction of the communication costs and aggregation of information at local nodes to form the global information [5,60,115,124,125].

The problems with most distributed framework are the overheads of managing the distributed system and the lack of a high-level parallel programming language. Working with a large number of computing nodes in a cluster or grid, there is always the potential of node failures, which cause multiple re-executions of tasks. All of these pitfalls can be overcome by the Hadoop-MapReduce framework introduced by Google [26,27,44,99]. The Hadoop-MapReduce model is a Java-based programming model for readily and efficiently developing applications that process massive datasets in parallel on large clusters of commodity hardware in a trustworthy failure-resilient manner [57,91,110].

In this study, we give a detailed review of several improved Apriori algorithms in the Hadoop-MapReduce environment and the challenges and open issues of big data in the cloud and for Hadoop-MapReduce. The rest of this paper is organized as follows. Section 2 explains big data and cloud computing. Section 3 elaborates data mining techniques, the basic concept of ARM, and the Apriori algorithm. Section 4 provides an overview of the parallel discovery of the Apriori algorithm and related challenges. Section 5 describes the concepts of Hadoop, MapReduce, and HDFS and how the Apriori algorithm is implemented for the Hadoop-MapReduce model with an example. Section 6 presents analysis of several improved Apriori algorithms in the Hadoop-MapReduce environment. Section 7 briefly discusses the challenges and open issues of big data in the cloud and for Hadoop-MapReduce. Section 8 presents the discussion and conclusion.

### 2 BIG DATA AND CLOUD COMPUTING

Big data is the science of analyzing high volumes of diverse data in near-real time (volume, velocity, variety, veracity). Massive amount of data generated are generated daily due to technological growth, digitalization and by a variety of sources, including business application transactions, web pages, videos, images, e-mails, and social media. Typically it involves using NoSQL technology and a distributed architecture to analyze the data. The analysis can be done in the public cloud or on private infrastructure. Cloud computing provides IT resources such as Infrastructure, Platform, Software, Database, and Storage as service. It provides many features like: on-demand self-service, resource pooling, rapid elasticity, flexible scaling and high availability. data represents Big content and cloud computing is an environment that can be used to perform tasks on big data. Nonetheless, the two concepts are connected. In fact, big data can be processed, analyzed, and managed on cloud.

### 2.1 Big Data

Big data is the term used to delineate massive amounts of information of both structured and unstructured data types [113, 131]. Traditional database techniques and software applications are not suitable to process big data analytics. Big data is often characterized using four important aspects, namely data volume, data variety, data veracity and data velocity, sometimes referred to as the 4 V's of big data (volume, variety, veracity, and velocity) [45]. In addition, each of the four V's has its own consequences for analytics. Figure 2 shows the 4 V's characteristics of big data.

### 2.1.1 Volume

Volume refers to the generation and collection of different types of massive data. Most of the

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datasets are too large to store and analyse using traditional database technology. Distributed systems are a new type of technology to overcome the above drawbacks. For instance, 40 zetabytes (43 trillion GB) of data will have been created by the year 2020, 6 billion people have mobile devices (85.7% of the total world population), and 2.5 quintillion bytes (2.3 trillion GB) of data are produced each day [45,50].

### 2.1.2 Velocity

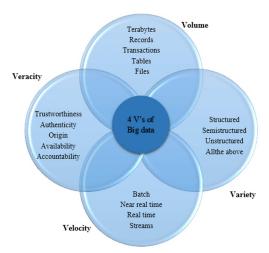


Figure. 2. 4 V's Characteristics of Big Data

Velocity represents the speed at which new data are generated, stored, analysed, and visualized. In the big data era, data are produced in real-time, or near real-time. Currently, the big data production speed from various perspectives is almost unbelievable. For instance, every minute, email users send 204 million messages, Facebook users share 2.46 million pieces of content, Google receives over 4 million search queries, and YouTube users upload 100 hours of video [45, 50].

### 2.1.3 Variety

Variety represents the various types of data users can now utilize. Previously, all data fell under categories of structured data. It was neatly stored in tables and relational databases. Currently, most of the world's data produced by many organisations and Internet service companies is unstructured data. Data can be categorized into four formats: structured data, semi-structured data, unstructured data, and complex structured data, such as audio, video, web pages, text, images, 3D models, simulations, and sensor data [50]. Big data requires different approaches and techniques to analyse and manage all of the raw data.

### 2.1.4 Veracity

Veracity refers to the uncertainty of data [18]. Different volumes of data come with different variety at high velocity from different sources. Organisations need to ensure the veracity of data, i.e., its accuracy, fidelity, and truthfulness, because improper data may cause significant problems for organisations as well as customers.

### 2.2 Cloud Computing

Cloud computing is a paradigm that is evolved from distributed processing, parallel computing, and grid computing. Cloud computing refers to "Outsourcing" and provides ubiquitous, expedient, and elastic services over the Internet or similar networks or both with access to a shared pool of computing resources, such as storage, memory, servers, network, applications, and services [33,52,65] The main features of cloud computing are resource pooling, rapid elasticity. self-service and on-demand capabilities, broad network access, virtualization, accessibility and scalability, and measured service [65]. Cloud computing delivers infrastructure, platform, and software as services via a cloud and delivers them over the Internet or a private network [29]. These cloud computing services can be generally categorized into three different service models: Infrastructure as a Service (IaaS), Platform as a Service (PaaS), and Software as a Service (SaaS) [48,65,109].

### 2.2.1 Infrastructure as a Service (IaaS)

IaaS is one of three pillars of the cloud-computing model. This service model allows cloud users to use virtualized information technology resources for computing, storage, networking, and other infrastructure components on behalf of its cloud users [7,64,65,96]. The user can deploy and run his applications over his chosen operating system environment. The user does not have privilege to manage or control the underlying cloud infrastructure, but the user can control the operating system, computing storage, deployed applications and networking components [96].

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Table 1: Advantages And Disadvantages Of Cloud

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Advantages	Disadvantages		
Cost efficiency	Security and privacy in the cloud due to multi- tenancy		
Convenience and high availability	Performance problems due to the reliance on the Internet		
Backup and recovery			
Quick deployment and ease of integration			
Resiliency and redundancy			
Device diversity and location independence			
Scalability and performance			
Increased storage capacity			
Automatic software integration			
Popular IaaS implementations include Amaz	the underlying cloud infrastructure [101,1		

Popular IaaS implementations include Amazon Web Service (AWS), GoGrid, Rackspace Cloud, Windows Azure, IBM Smart Cloud, and Google Compute Engine [28].

### 2.2.2 Platform as a Service (PaaS)

PaaS is the second pillar of the cloudcomputing model. The platform cloud is an integrated computer system consisting of both hardware and software infrastructure. The user application can be deployed on this virtualized cloud platform using various software tools and programming languages supported by the cloud service provider. The cloud user cannot manage the underlying cloud infrastructure [101,106, 109]. The cloud provider supports user application development and testing on a well-defined service platform. This PaaS model permits a collaborated software platform for users from all over the world. This model also motivates third parties to provide software management, integration, and monitoring solutions. Common PaaS vendors include Google App Engine, Salesforce.com (CRM), Microsoft Azure, Amazon Elastic MapReduce, and Aneka [28].

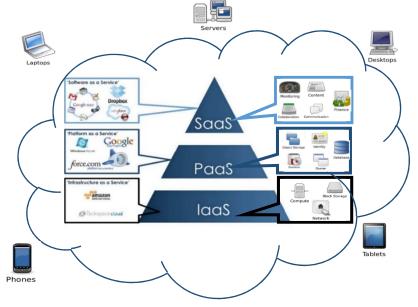


Figure. 3. Cloud computing service models with examples

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### 2.2.3 Software as a Service (SaaS)

The third pillar of the cloud model is SaaS. SaaS is a way of delivering and managing software applications over the Internet by one or more cloud providers. SaaS application software (s/w) sometimes may refer to web-based s/w, ondemand s/w or hosted s/w. The providers have privileged access to the application, including security controls, availability, and performance. Suitable examples of SaaS services include Google Gmail and Docs, Microsoft SharePoint, and CRM software from Salesforce.com [28].

Figure 3 illustrates three cloud models at different service levels of the cloud. SaaS is applied at the application end using interfaces by users or clients. such as monitoring. communication, content management, finance, and collaboration. At the PaaS layer, the cloud platform must perform object storage, user identity, runtime, database and job queuing handling. At the bottom layer of the IaaS services, block storage, compute instances, the network, the file system, and storage must be provisioned to satisfy user demands.

Cloud computing has many advantages as well as some disadvantages. Table 1 describes the pros and cons of cloud computing.

### **3 DATA MINING TECHNIQUES**

Data mining methods and tools are a set of welldefined procedures to create a data mining model from data repositories [47]. Typically, specified data mining tools analyse the user-defined data to create a model considering the exact types of patterns and trends of the data. The techniques reveal the solutions of this analysis to define the optimal parameters for creating the data mining model [12]. These parameters help to extract the patterns and detailed statistics from the entire dataset. Data mining methods involve seven common classes of data mining techniques according to function and application purpose, which are described as follows:

i. Anomaly Detection or Outlier Detection: Anomaly or Outlier detection refers to the identification of the items, events, and observations in a dataset that do not conform to normal behaviour. SVM, Fuzzy Logic, K-Means, Nearest Neighbour, and Outlier Count are the popular algorithms used in outlier detection [84]. The outlier detection techniques can be applied to numerous domains, such as network intrusion detection, telecommunication fraud detection, credit card fraud detection, fault detection, system health monitoring, and event detection in sensor networks [12,34,47]

- ii. Association Rule Mining (ARM): ARM attempts to find frequent itemsets among large datasets and describes the association relationship among different attributes [85]. The most-used algorithms for ARM are Apriori, Eclat, FP-Growth, and partition. Market basket analysis, text mining, Web usage mining, protein sequences, and Bioinformatics are the application areas for ARM [12,13,34,47].
- iii. Classification: Classification is the data mining function that assigns items in a collection to target categories or classes [34]. The goal of classification is to build a model that can accurately predict the target class for each case in the data. Decision Tree, Naïve Bayes, k-NN, GLM, and SVM are the well-known algorithms, and fraud detection, credit risk, stock market, DNA, and E-mail classification are well-established areas using classification techniques [12,34,47,85]
- Clustering: Clustering is the process of iv. grouping a set of physical or abstract objects in such a way that objects in the same group are more similar to each other than to those in other groups. K-Means, canopy, DBSCAN, EM, Fuzzy, C-Means, CLOPE, and cobweb are popular implementations of clustering. The clustering techniques can be implemented in several fields, such as machine learning, pattern recognition, image analysis, information retrieval, bioinformatics, crime analysis, and climatology (12,23, 34, 47].
- v. **Regression:** Regression is commonly used to predict future trends based on past values by suitable points on the curve. Multivariate, and Adaptive Regression are two of the best algorithms used in regression analysis. The detection of fraud, and the minimization of risk assessments are suitable areas for the use of regression analysis [10, 47, 97].
- vi. **Summarization:** Visualization and report generation is the main goal of the summarization. It provides a more compact representation of the dataset [47]. LexRank, TF–ISF, and TextRank are two of the best algorithms used in summarization. Multimedia documents, text summarization, and image collection are appropriate domains to

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graphically	represent	data	using	[117,127]. The quality of an association rule can
summarizatio	n [3,69].			be represented as measurements, support and

vii. Mining **Time-Series** Data: Many organisations and business industries utilize time-series or dynamic data. It is typically the case that all statistical and real-time control data used in process monitoring and control are essentially time series. A time-series database consists of sequences of values or events obtained over repeated measurements of time. The values are typically measured at equal time intervals (e.g. hourly, daily, and weekly). Time-series databases are popular in many applications, such as stock market analysis, economic and sales forecasting, budgetary analysis, utility studies, inventory studies, vield projections, workload projections, process and quality control, observation of natural phenomena (such as the atmosphere, temperature, wind, and earthquakes), scientific and engineering experiments, and medical treatments[35,97].

#### 3.1 Association Rule Mining (ARM)

ARM is one of the key methods of data mining techniques, and it was introduced by Agrawal et al. in 1993. We elaborate some generic concepts of association rules of mining formally as follows:

Let  $I = \{i_1, i_2, \dots, i_m\}$  be a set of m different literals or items. For instance, goods such as bags, pens, and pencils for purchase in a shop are items. X is a set of items such that  $X \subseteq I$ , a collection of zero or more items, is called an itemset. If an itemset contains k items, it is called a k-itemset. For example, a set of items for purchase from a super market is an itemset.

Let  $D = \{t_i, t_{i+1}, \dots, t_n\}$  be a set of transactions, where each transaction t has tid and t – itemset t = (tid, t - itemset).

The itemset X has in the transaction dataset D a support, denoted as S; if S% transaction contains X, here we called S = Supp(X).

$$Supp(X) = \frac{|\{t \in D; X \subseteq t\}|}{|D|}$$

An itemset X in a transaction database D is called a large, or frequent, itemset if its support is equal to, or greater than, the threshold minimal support (minsup) given by users. The negation of an itemset *X* is  $\neg X$ .

The support of  $\neg X$  is  $supp(\neg X) = 1$ supp(X).

An association rule is an implication in the form of  $X \to Y$ , where  $X, Y \subseteq I$  and  $X \cap Y = \phi$ 

be represented as measurements, support and confidence.

Support (S) determines how often a rule is applicable to a given dataset.

 $S(X \to Y) = \frac{\operatorname{Supp}(X \cup Y)}{D}.$ 

*Confidence*(*C*) determines how frequently items in *Y* appear in transactions that contain *X*.

 $C(X \to Y) = Supp(X \cup Y)/Supp(X)$ .

The association rule mining task can be broken down into two sub-tasks [43, 116, 129].

- Finding all of the frequent itemsets that Ι have support above the user-specified minimum support, i.e. generating all frequent itemsets.
- II. Generating all rules that have minimum confidence in the following simple way: For every frequent itemset *X* and any  $B \subset X$ , let A = X - B. If the confidence of a rule  $A \rightarrow B$  is greater than or equal to the minimum confidence  $(or supp(X)/supp(A) \ge minconf),$ then it can be extracted as a valid rule.

The ARM performance typically depends on the first task. Usually, ARM generates a vast number of association rules. Most of the time, it is difficult for users to understand and confirm a huge number of complex association rules. Thus, it is important to generate only "interesting" and "non-redundant" rules or rules satisfying certain criteria, such as being easy to handle, control, understand, and increase the strength of. Dozens of algorithms have been developed to find the frequent itemsets and association rules in ARM, and some of the commonly used algorithms are the Apriori algorithm, partition algorithm, hash tree algorithm, dynamic item set counting algorithm, FP tree growth algorithm, Eclat and dEclat.

#### 3.1.1 Apriori algorithm

The rapid advancement of information technology has resulted in the accumulation of tremendous amounts of data for organisations, and therefore, extracting needed information from huge amounts of data has been a significant challenge for researchers [79]. Apriori is a classic and broadly used ARM algorithm. It uses an iterative approach called breath-first search to generate (k-1) itemsets from k item sets. The basic principal of this algorithm is that all nonempty subsets of a frequent itemset must be frequent [15,97]. There are two main steps in Apriori:

1. The prune step: remove an itemset if its support is less than min sup, which is a value

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predefined by the user	and abandon the A	DADALLEL DISCOVEDV OF THE

predefined by the user, and abandon the itemset if its subset is not frequent.

2. The Join step: candidates are produced by joining among the frequent itemsets level-wise. The key drawback of this algorithm is the multiple dataset scans.

Algorithm 1 presents the pseudocode of the Apriori algorithm [97].

Algorithm 1: Frequent itemset generation of the	
Apriori algorithm	

 $\overline{k=l}$  $L_k = \{i | i \in I \land \sigma(\{i\}) \ge N \times minsup\}.$ {*Find all frequent 1-itemsets*} repeat k=k+1.  $C_k = apriori-gen(L_{k-l})$ ). {Generate candidate itemsets} for each transection  $t \in T$  do  $C_t = subset(C_k, t)$ *{Identify all candidates that belong to t}* for each candidate itemset  $c \in C_t$  do  $\sigma(c) = \sigma(c) + 1.$  {Increment support count} end for end for  $L_k = \{c | c \in C_k \land \sigma(c) \ge N x \text{ minsup} \}$ *{Extract the frequent k*itemsets} *until*  $L_k = \phi$  $Result = \bigcup L_k$ 

Cloud could be a perfect platform for data mining algorithms because of the advantages of cloud such as availability, scalability, recovery, performance, and cost effective. However, the algorithm classical Apriori cannot be implemented in the parallel environment because it was intended for sequential processing. Numerous techniques have been proposed to improve the efficiency of the classical Apriori algorithm, such as direct hash and pruning (DHP), transaction reduction, partitioning, sampling, dynamic itemset counting (DIC), vertical layout techniques, and FP-Growth [53]. Table 2 describe some popular sequential Apriori algorithms that have improved the efficiency and performance of the original Apriori algorithm in a sequential manner.

### 4 PARALLEL DISCOVERY OF THE APRIORI ALGORITHM

The current parallel and distributed algorithms are based on the classical Apriori algorithm. Classical Apriori is a well-known algorithm for discovering frequent itemsets from a transactional database; however, it needs to scan the dataset repeatedly to find frequent itemsets, and it generates numerous candidate itemsets. Unfortunately, if the dataset is massive, both the memory usage and computational cost are more expensive. Moreover, a single machine processor's memory and central processing unit resources are which makes the algorithm inadequate, performance inefficient. Furthermore, because of the exponential increase in global information, many organisations must deal with big data. As these data grow past GBs towards TBs or more, it becomes infeasible to manage, store, and analyse such data on a single sequential machine.

Parallel and distributed computing offer a potential solution for the above problems when efficient and scalable parallel and distributed algorithms can be implemented. Count Distribution (CD), Data Distribution (DD) and Candidate Distribution are three parallel versions of the Apriori algorithm that have been developed by Agrawal and Shafer [5]. Ever since, many versions of parallel algorithms

have been proposed to improve the efficiency of the classical Apriori algorithm [1,5,11,20,21,22 ,36,37,51,72,73,78, 89,90,94,103,112, 118,119, 120,123,125]. These parallel algorithms can be implemented in the cloud-computing environment to reduce computation time, memory usage and I/O overhead for generating frequent itemsets. This can boost the performance of association rule-mining algorithms.

### 4.1 Count Distribution algorithm (CD)

This technique involves the data parallelism approach that splits the database into horizontal partitions and then scans individually to find the local counts of all candidate itemsets on each process. Finally, the local counts are summed up after every iteration to obtain the global count to find frequent itemsets. The main advantage of this method is the minimization of the communication cost, as data tuples are not exchanged among processors, only the counts are exchanged [5,125]. Table 3 describes the count distribution algorithm

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Table 2: Methods for improving the Apriori algorithm

Method	Description	Storage data structure	Database	Algorithm proposed by
Direct hash and pruning (DHP)	This method attempts to generate large itemsets efficiently and reduces the transaction database size. When generating $L_I$ , the algorithm also generates all of the 2-itemsets for each transaction, hashes them to a hash table and keeps a count.	array	Suitable for medium- size databases	[68,75,76,77,122]
Transaction reduction	A transaction that does not contain any frequent k+1-itemset may be marked and removed.	array	Suitable for small- and medium- size databases	[93,100,117]
Partitioning	The set of transactions may be divided into a number of disjoint subsets. Then, each partition is searched for frequent itemsets. These frequent itemsets are called local frequent itemsets.	array	More suitable for huge-size databases	[30, 80, 81, 125]
Sampling	A random sample (usually large enough to fit in the main memory) may be obtained from the overall set of transactions, and the sample is searched for frequent itemsets	array	Right fit for all sizes of databases	[42, 62, 102,123]
Dynamic itemset counting (DIC)	DIC allows for the counting of an itemset to begin as soon as we suspect that it may be necessary to count it.	array	Appropriate for small- and medium- size databases	[16,17,19,98]
Vertical layout technique (Eclat)	The Eclat algorithm is based on depth-first search algorithm techniques. It uses a vertical database layout instance of a horizontal layout, i.e., instead of explicitly listing all transactions, each item is stored together with its cover (also called tidlist), and the intersection-based approach is used to compute the support of an itemset.	array	Suitable for medium- size and dense datasets but not small- size datasets	[103, 121]

pseudocode and complexity analysis.

#### **Data Distribution Algorithm (DD)** 4.2

This method is helpful in using the average main memory of machines in parallel by partitioning both the database and the candidate itemsets. As each candidate itemset is counted by only one process, all processes have to exchange database

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partitions during each iteration for each process to obtain the global counts of the assigned candidate itemsets. The key advantages of this method are that the total memory of the system is used more efficiently and that this algorithm is viable only on a machine with very fast communication [5,125]. Table 4 explains the data distribution algorithm pseudocode and complexity analysis.

### 4.3 Candidate Distribution algorithm

This method also partitions candidate itemsets but selectively uses replicas, instead of partitioning and exchanging database transactions, such that each process can proceed independently. The main advantage of this method is that this algorithm tries to do away with the dependence between processors; therefore, processors work separately without synchronizing. Table 5 explains the data distribution algorithm pseudocode.

### 4.4 Challenges of a parallel Apriori algorithm

Apriori parallel algorithms handle gigantic datasets on various platforms with different configurations. There are many major challenges that need to be considered, as mentioned below.

- How can efficacious load balancing be achieved, and which type of load balancing (static or dynamic) is suitable for a particular algorithm to use?
- How can the total memory system be used effectively?
- How can new algorithms be produced for different memory systems?
- Which data layout is more convenient to use (horizontal, vertical, hybrid, or projection)?
- How is the choice of which parallel technique (data or task parallelism) to use in a new algorithm decided?
- How can the communication cost among processors be reduced?
- How can synchronization be minimized?
- How can system failure and data recovery be managed?
- How can parallel programming issues be simplified?

• How can scalability and high availability be managed?

### 5 APRIORI ALGORITHM ON THE HADOOP-MAPREDUCE MODEL

To overcome the above-stated major challenges, the Hadoop-MapReduce framework is a suitable solution. Hadoop is an open-source programming framework that is capable of running applications for large-scale processing and storage on large clusters of commodity hardware [57,110]. Hadoop cluster characteristics include the partitioning or distributing of data, computation across multiple nodes, and performing computations in parallel [88]. It provides applications with both reliability and data motion. The Apache Hadoop framework consists of four core components: Hadoop common, Hadoop distributed file Hadoop YARN, system, and Hadoop-Hadoop common contains Java MapReduce. libraries and utilities required by other Hadoop components. These libraries contain the file system and essential Java files and scripts and operating system-level abstractions.

The Hadoop Distributed File System (HDFS) that stores data on the simple computer machines provides high-throughput aggregate bandwidth across the cluster [91]. Hadoop YARN is a resource-management framework for handling compute resources and job scheduling of user applications [105]. Hadoop-MapReduce is a programming model for parallel processing of large-scale datasets. Beyond the above four modules, the Apache Hadoop framework has

many related projects, such as Oozie, HBase, Pig, Mahout, Hive, Sqoop, Flume, and Zookeeper.

Figure 4 illustrates components of the Hadoop framework and their ecosystem [82].

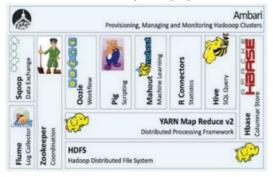


Figure 4. Hadoop framework and its ecosystem

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	Table 3: CD Algorithm Pseudocode And	Analysis
Method	Pseudo code	Analysis
Count	Pass>1	Input/output Time
Distribution	Generate complete $C_k$ from $L_{k-1}$	O(N/P)
Algorithm (CD)	Count local data $D_i$ to find support for $C_k$	CPU Time
	Exchange local count for $C_k$ to find global	O(count)/P + overhead
	$C_k$	Communication Volume
	Compute $L_k$ from $C_k$	$\sum_{k} O( C_k )$ per CPU
	Pass $k=1$	$\sum_{k} o( c_k ) per cro$
	Generate local $C_l$ from local data $D_i$	Message Count
	Merge local candidate sets to find $C_I$	$\sum_{\nu} \log P$

Table 4: DD Algorithm Pseudocode And Analysis

Method	Pseudo code	Analysis
Data	Partition data + candidate set	Input/output Time
Distribution	Generate $C_k$ from $L_{k-1}$ ; retain $ C_k /P$	O(N/P)
Algorithm	locally	CPU Time
(DD)	Count local $C_k$ using both local and remote data	O(count)/P + overhead
	Calculate local $L_k$ using the local $C_k$ and synchronize	Communication Volume $\sum_{k} O(N) \text{ per CPU}$ Message Count
		$\sum_{k} O(P)$

Table 5: Candidate Distribution Algorithm Pseudocode

Method	Pseudo code
Candidate	Pass $k < 1$ : Use either Count or Data distribution algorithm
Distribution	Pass $k = 1$ :
Algorithm	<ul> <li>Partition L<sub>k-1</sub> among the <i>N</i> processors such that L<sub>k-1</sub> sets are "well balanced".</li> <li>Processor <i>Pi</i> generates C<sub>k</sub> logically using only the L<sub>k-1</sub> partition assigned to it.</li> <li><i>Pi</i> develops global counts for candidate in C<sub>k</sub> and the database is repartitioned into D<sub>i</sub> at the same time.</li> <li>After P<sub>i</sub> has processed all its local data and any data received from all other processors, it posts N-1 asynchronous receive buffers to receive L<sub>k</sub> from all other processors. These L<sub>k</sub> are needed for pruning C<sub>k+1</sub> in the prune step of candidate generation.</li> <li>Processor P<sub>i</sub> computes L<sub>k</sub> from C<sub>k</sub> and asynchronously broadcasts it to the other N-1 processors using N-1 asynchronous sends.</li> <li>Pass K&gt;1:</li> <li>Processor P<sub>i</sub> collects all frequent itemsets that have been sent to it by other processors.</li> <li>P<sub>i</sub> generates C<sub>k</sub> using the local L<sub>k-1</sub>.</li> <li>P<sub>i</sub> makes a pass over D<sub>i</sub> and counts C<sub>k</sub>.</li> </ul>

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### 5.1 Hadoop Common

The Hadoop software library is an Apache opensource framework written in the Java programming language that allows for the distributed processing of huge datasets across clusters of computers using simple programming models [8,44]. It is an Apache project released under Apache Open Source License v2.0, which is very commercially friendly. The Hadoop framework provides distributed storage and computation among computers on clusters. provides the distributed HDFS storage. MapReduce performs the computing process across the clusters of computers [44, 57,110]. Figure 5 illustrates the high-level architecture of Hadoop.

### 5.2 Yet Another Resource Negotiator (YARN)

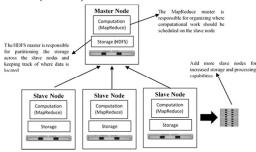
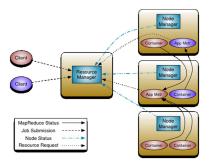


Figure. 5. High-level architecture of Hadoop

Apache Yet Another Resource Negotiator (YARN) is a next-generation compute and resource management infrastructure, and it delegates many scheduling functions (e.g. task fault tolerance) to per-application components in Hadoop[110]. YARN eliminates the scalability

### Figure. 6. YARN architecture [8]

limitation of the first-generation MapReduce paradigm. YARN's basic idea is to split the two major functionalities of the JobTracker, resource



management and job scheduling, into separate daemons.

The idea is to have a global ResourceManager and per-application ApplicationMaster. The ResourceManager arbitrates resources among all of the applications in the system and has two components: the Scheduler and Applications Manager [56]. The YARN architecture is shown in Figure 6.

### 5.3 Hadoop Distributed File System (HDFS)

HDFS is responsible for storing very large data reliably on a cluster in Hadoop. Files in HDFS are split into blocks before they are stored on the cluster. The typical size of a block is 64 MB or 128 MB. The blocks belonging to one file are then stored on different nodes. HDFS separately stores application data as well as file-system metadata. HDFS stores metadata on the NameNode, and application data are stored on DataNodes. All nodes are fully connected and communicate among themselves using TCPbased protocols. HDFS has many characteristics, such as its 'writing to one-reading to many' model, processing logic near to the data instead of moving the data to the processing logic, data access through MapReduce streaming, simple and robust model, scalability for processing huge data and storing data reliably, cost effectiveness for distributing and processing data across clusters of commodity hardware, efficient distribution of data and parallel processing, and reliability manifested in maintaining multiple copies of data on existing nodes [91,92]. Figure 7 describes a logical representation of the components in HDFS.

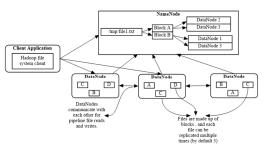


Figure. 7. HDFS shows how a client communicates with the master NameNode and slave DataNodes

### 5.4 MapReduce

MapReduce is a software framework designed to process and generate large amounts of data inparallel across distributed clusters (thousands of nodes) of personal computer hardware in a trustworthy, fault-recoverable manner [74]. The

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MapReduce paradigm is composed of the map task, which takes input pairs and produces intermediate key/value pairs, and the reduce task, which accepts the output key/value pairs from the map task and merges, shuffles, and combines those key/value pairs.

The map task is always performed before the reduce task. Generally, both the input and the output are stored in HDFS. The framework is responsible for scheduling tasks, monitoring them and re-executing the failed ones [44, 57, 110]. A logical view of the MapReduce programming model is shown in Figure 8.

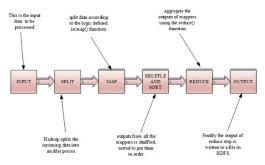


Figure. 8. Logical view of the MapReduce programming model

The map operation and reduce function need to be defined by the programmer. Figure 9 shows a logical view of the map function with respect to its input and output.

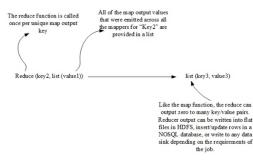


Figure 9. Logical view of the map function

The shuffle and sort phases are illustrated in Figure 10.

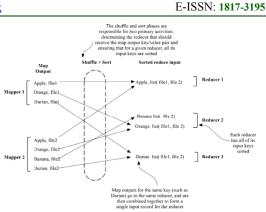


Figure. 10. Logical view of reduce function

Figure 11 illustrates the pseudocode definition of a reduce function.

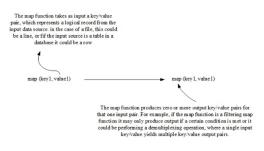


Figure. 11. Logical view of the reduce function

Hadoop's MapReduce architecture is based on the master-slave architecture model in HDFS. The overall logical architecture of the Hadoop-MapReduce framework with its main components is shown in Figure 12

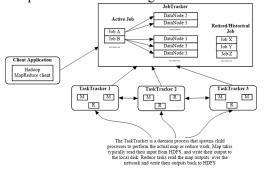


Figure. 12. Logical architecture of MapReduce

#### 5.5 Apriori example on the Hadoop MapReduce model

The following example briefly describes how to implement the Apriori algorithm on the Hadoop-MapReduce model, as shown in Figure 13, using the Map, Shuffle, and Reduce processes. 31<sup>st</sup> March 2016. Vol.85. No.3

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#### 6. ANALYSIS OF SEVERAL IMPROVED APRIORI ALGORITHMS IN THE HADOOP-MAPREDUCE ENVIRONMENT

In this section, the related works are analysed regarding four categories: the objective of the work, main theme of the researchers' concern, datasets that were used for the experiment, and machine configuration and platform used to execute the proposed studies. The following tables describe the above four categories as follows:

Table 6 presents several related studies that use a parallel Apriori algorithm to deal with big data through the use of the Hadoop and MapReduce

distributed framework. This table provides the objectives of related papers that deal with a parallel Apriori algorithm based on Hadoop technologies and describes the techniques and technologies used in the Hadoop-MapReduce environment to address big data.

Table 7 summarizes the main theme of the related studies.

Table 8 demonstrates the datasets that were used to analyse the proposed studies. Some researchers have given very clear details of the dataset that they used.

Table 9 summarizes the hardware and softwareconfiguration and platform where the authorsimplementedtheirexperiment.

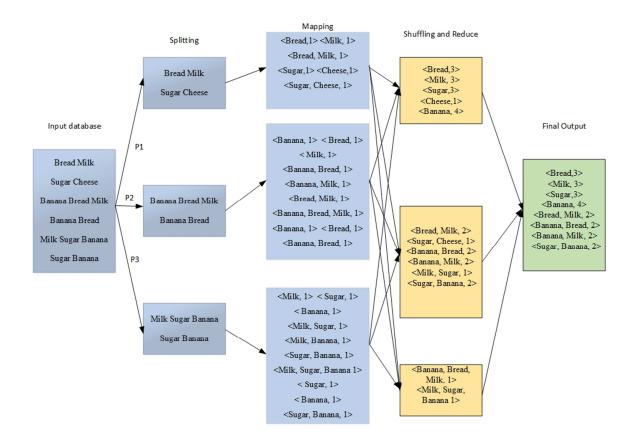


Figure. 13. Apriori example on MapReduce framework

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Table 6: Rev	iew of several improved Apriori algorithms on Hadoop-MapReduce and	l their objectives
Reference	Objective	
[60]	To evaluate the performance of their proposed Apriori alg size-up, speedup, and scale-up to address massive-scale datas	
[117]	They proved their improved Apriori algorithm on a theoretic replace the transaction dataset using the Boolean matrix arra non-frequent item sets can be removed from the matrix, and scan the original database repeatedly. It just needs to opera matrix using the vector operation "AND" and the random ac of the array so that it can directly generate the k- frequent iter	ay; by this method, there is no need to ate on the Boolean ccess characteristics
[74]	From this study, they suggested a count distribution algorithm parallelize the Apriori algorithm. Hadoop has three modes of Standalone, Pseudo-Distributed, and Fully-Distributed n distribution strategy was chosen to implement the Aprio Hadoop cluster.	n as the best way to of operation: as the nodes. The count
[31]	<ul> <li>The authors have improved the Apriori algorithm using the for</li> <li>Split the transaction database horizontally into <i>m</i> distribute them to '<i>m</i>' nodes.</li> <li>Each node scans its own datasets and generates itemsets C<sub>p</sub></li> </ul>	a data subsets and
	<ul> <li>Then, the support count of each candidate itemse candidate itemset C<sub>p</sub> is divided into r partitions and s their support count. r nodes respectively accumulate of the same itemset to produce the final practical sup the frequent itemset L<sub>p</sub> in the partition after comin_sup.</li> <li>Finally merge the output of r nodes to generate set itemset L</li> </ul>	sent to $r$ nodes with e the support count oport and determine omparing with the
[111]	To illustrate its time complexity, which theoretically shows has much higher performance than the sequential algorithm reduce nodes get added.	
[114]	To compare and prove the good performance of the newly algorithm with previously existing 1-phase and <i>k</i> -phase so repeatedly changing the number of transaction and minimum	canning algorithms
[59])	To deploy the revised Apriori algorithm on Amazon Elastic (EC2) to assess the performance when varying the num min sup threshold.	
[54]	To produce all of the subsets that would be generated from these subsets are searched against the datasets and frequency huge data itemsets and their subsets, hence they re- simultaneously so that the search time is reduced. An experi- by changing the Hadoop mode with Fully configured multi- Different System Configuration (FHDSC) and Fully con- Hadoop with Similar System Configuration (FHSSC) $N = \frac{FHDSC}{FHSSC}$	is noted. There are nust be searched ment evaluated this -node Hadoop with
	FHSSC $FHDSC = FHSSC = log_e N$ where N is the number of nodes installed in the cluster	

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[83]	<ul> <li>The Apriori algorithm is a famous algorithm for association traditional Apriori algorithm is not suitable for the cloud-conducted because it was not designed for parallel and distributed commission implementations have the drawback that they do not scale line of records increases. Secondly, the execution time increases of k-itemsets is required. Thus, the authors have attempted above limitations, and they have improved the Apriori algorithm is the following features: <ul> <li>It will scale linearly as the number of records increase</li> <li>The time taken will be agnostic to the value k. The time taken will take the same amount of the same am</li></ul></li></ul>	computing paradigm nputing. The current nearly as the number when a higher value ed to overcome the gorithm such that it uses. That is, whatever k-
[49]	number of records. The authors have produced a new map-reduce-based algor problem of mining frequent itemsets using dynamic wor through a block-based partitioning. The block-based a memory constraints because the basic task of generating con a very large memory space depending on several param support threshold. The features of the proposed algorithm properly tuned estimation to measure the correct itemset dur redundancy is eliminated by handling duplicates carefully workload management.	rkload management approach addresses abinations may need eters, including the n are that it uses a ing the pass, and the
[46]	<ul> <li>In this study, the authors proposed a new parallel frequent The proposed algorithm has five steps:</li> <li>Divide the complete transaction database Db in available processors so that each individual proc allocated DB in parallel</li> <li>Construct the Global Frequent Header Table using for the first time</li> <li>Generate conditional frequent patterns using a m time</li> <li>Each reducer combines all conditional frequent p key to generate frequent sets</li> </ul>	nto the number of essor works on the g a MapReduce task hap task the second atterns of the same
[66]	• All reducers' outputs are aggregated to generate the They proposed an algorithm that used a Trie struct methodology, but it will locally prune the dataset at the bas an intermediate level by grouping nodes into clusters. A aggregation of the frequent itemsets is done at the global algorithm can reduce the message passing overhead. Like mining approach, the overall message passing overhead follows a random approach for communication, and, if any the message passing should occur randomly for all nodes, wh strategy, the global dataset generated is centralized, so it message for one node to communicate. Additionally, automatically resolved by using the Hadoop distributed fram	ture and grouping e level as well as at t the end, the final level. The proposed ce the gossip-based occurs because it update occurs, then hile, in our proposed will take only one fault tolerance is

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[107]	The authors introduce a method named the Token- to generate precise frequent patterns of data. The	11

output from the previous module. The output displayed after the elimination of the threshold value is the frequent pattern. They evaluated the performance by using a confusion matrix; it provides the accuracy of the patterns.

Confusion Matrix	Frequent	Infrequent
Frequent	True Positive(TP)	False Negative(FN)
Infrequent	False Positive(FP)	True Negative(TN)
Accura	$cy = \frac{(TP + TN)}{(TP + TN + FP)}$	$\frac{1}{1}$ + FN)
Р	$recision = \frac{TP}{(TP + FP)}$	<u>,</u>

$$Sensitivity(Recall) = \frac{TP}{(TP + FN)}$$

- [104] From this study, they introduced a method to measure the performance of the distributed algorithm. Measuring the spent time of an algorithm that runs on multiple machines in a parallel environment is not a trivial task. The Hadoop framework can tell how long a job was running, but their computations are using multiple jobs; they can sum up these jobs of course, but the framework does many things in the background, such as copying code and data between the nodes as well as ordering and shuffling the intermediate job data. Thus, they created a scaffolding framework for their implementation that is responsible for measuring the time spent on each task.
- [55] Several Apriori-based algorithms were developed, but the modifications are based on the reduction of the number of the database scans. However, Aprioribased algorithms have some other drawbacks. The role of the 2 frequent itemsets is fundamental, therefore it is useful to take care of the second candidates. In this case, the itemset counters can be stored in a triangular matrix. This triangular matrix can be indexed by the itemset identifiers. Hence, this matrix contains counter values of each 2 itemsets, and the diagonal of the matrix can be the place holder of the 1-item set counters. The advantages of this solution are as follows:
  - It is necessary to generate the 2 candidate
  - It is possible to count the 1 and 2 itemset in one scan
  - Each of the counters can be reached in O(1) time
  - It is independent of the size of database, as it depends only on the number of items.
- [41] The goal of the paper is to experimentally evaluate association rule-mining approaches using a vertical dataset layout and database partitioning on the Hadoop-MapReduce framework. They developed a new MapReduce-based association rule miner for extracting strong rules from benchmark datasets.
- [67] They introduced two algorithms based on the MapReduce framework for which the frequency thresholds can be set low:
  - 1. Dist-Eclat is a MapReduce implementation of the well-known Eclat algorithm, optimized for speed in case a specific encoding of the data fits into memory. This technique is able to mine large datasets, but it can be prohibitive when dealing with massive amounts of data.
  - 2. BigFIM is optimized to deal with truly Big Data by using a hybrid algorithm, combining principles from both Apriori and Eclat.

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[58] They proposed a MapReduce-based parallel FP-Growth algorithm, which intelligently shards a large-scale mining task into independent parallel computational tasks and maps them onto MapReduce jobs. The proposed algorithm then uses the MapReduce model to take advantage of its recovery model. It can achieve near-linear speedup with the capability of restarting from computer failures. They experimented with their proposed algorithm on a massive dataset and verified the outstanding scalability of this algorithm. To make the algorithm suitable for mining Web data, which are usually of long tail distribution, they designed this algorithm to mine top-k patterns related to each item rather than relying on a user-specified value for the global minimal support threshold. They demonstrated that the proposed algorithm is effective in mining tag-tag associations and WebPage-WebPage associations to support query recommendation or related search.

	Table 7: Main theme of the proposed approaches
Reference	Main theme
[60]	In this study, the authors implemented a parallel Apriori algorithm in the
	context of the MapReduce paradigm. MapReduce is a framework for parallel
	data processing in a high-performance cluster computing environment
[117]	The aims of this study were to find the frequent itemsets and association rule
	in the transactional database with the min_sup and min_conf predefined by
	the user on the Hadoop-MapReduce framework. Typically, the standard
	Apriori algorithm has many challenges to discover the frequent itemsets from
	the huge dataset efficiently and quickly.
[74]	ARM plays a key role in data mining techniques. Apriori is an extensively
	used algorithm in association rule mining. The classical Apriori algorithm
	runs on a single node. Thus, it is difficult to process large datasets on a single
	node using the classical Apriori algorithm. There have been various studies
	for parallelizing the algorithm. In this study, Apache Hadoop has been chosen as the distributed framework to implement the Apriori algorithm, and the
	performance of the algorithm was evaluated on Hadoop. The classical Apriori
	algorithm was modified to be run on Hadoop. The classical Apriori
[114]	They introduced the ability to find all k-frequent itemsets within only two
	phases of scanning the entire dataset and implemented that in a MapReduce
	Apriori algorithm on the Hadoop-MapReduce model efficiently and
	effectively compared with the 1-phase and k-phase algorithms.
[59]	Big-data analysis is a very important research field in data mining strategies
	in the context of the cloud-computing paradigm. In this study, the authors
	developed a new parallel Apriori algorithm based on the existing sequential
	Apriori association rule algorithm for implementation on the Hadoop-
	MapReduce parallel computing platform.
[54]	Apriori is a broadly used algorithm for obtaining all frequent itemsets.
	Currently, data come from various domains in various formats with
	unbelievable velocity. Processing, managing, and analysing such huge
	amounts of data are impossible using simple computing machines. Hence,
	distributed and parallel environments undertake such scenarios efficiently.
	Apache Hadoop-MapReduce distribution is one of the cluster frameworks in a
	distributed environment that helps by distributing voluminous data across a
	number of nodes in the framework

Table 7: Main theme of the proposed approaches

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<ul> <li>[31] This study has implemented a revised Apriori algorithm to extract frequent pattern itemsets from transactional databases based on the Hadoop-MapReduce framework. They used the single-node Hadoop cluster mode to evaluate the performance. This redesigned algorithm can be easily parallelized and is easy to implement</li> <li>[83] Cloud computing has become a big name in the present era. It has proved to be a great solution for storing and processing huge amounts of data. Data mining techniques implemented with the cloud-computing paradigm can be useful for analysing big data in the cloud. In this paper, the researchers used the Apriori algorithm for association rules in a cloud environment.</li> <li>[49] From this study, the authors proposed one such distributed algorithm that is run on Hadoop, which is one of the most popular recent distributed frameworks and is mainly focused on the map/reduce paradigm.</li> <li>[46] In data mining, research in the field of distributed and parallel mining is gaining popularity as there is a tremendous increase in the sizes of databases stored in data warehouses. Frequent pattern mining is one of the important areas in data mining for finding associations in databases. As FP-Tree is considered the best compact data structure for holding data patterns in memory, there have been efforts to make it parallel and distributed to handle large databases. However, it incurs large amounts of rage databases.</li> <li>[66] In this study, the authors proposed the Hadoop map/reduce framework as a parallel and distributed firamework for frequent pattern mining algorithm si it shows the best performance results for large databases.</li> <li>[66] In this study, the authors and compared those algorithms with a comparison matrix. They also proposed a new algorithm. COMPARISON MATRIX</li> </ul>	ISSN: 1992-8645	www.jatit.org E-ISSN: 1817-3
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[66] In this study, the authors discussed different distributed Apriori algorithms proposed by different authors and compared those algorithms with a comparison matrix. They also proposed a new algorithm. <u>COMPARISON MATRIX</u>	[46]	In data mining, research in the field of distributed and parallel mining is gaining popularity as there is a tremendous increase in the sizes of databases stored in data warehouses. Frequent pattern mining is one of the important areas in data mining for finding associations in databases. As FP-Tree is considered the best compact data structure for holding data patterns in memory, there have been efforts to make it parallel and distributed to handle large databases. However, it incurs large amounts of communication overhead during the mining. In this study, the authors proposed the Hadoop map/reduce framework as a parallel and distributed framework for frequent pattern mining
Magaaaa	[66]	In this study, the authors discussed different distributed Apriori algorithms proposed by different authors and compared those algorithms with a comparison matrix. They also proposed a new algorithm. <u>COMPARISON MATRIX</u>

Algorithm	Complexity	Message passing overhead
Count Distribution (CD)	High, $O( C_k n)$ , where $C_k$ is a candidate itemset and n is the number of site	Less
Fast Distribution Mining (FDM)	High, less than CD $O( C_P n)$ , where $C_p$ is the union of all local candidate itemsets	Less
Optimized Distribution Association Mining (ODAM)	-	High
Distributed Trie Frequent Itemset Mining (DTFIM)	1 2	High
Gossip-based distributed frequent itemset mining	Low compared to all of the above (O(nlogn))	High

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[107]	Data mining is a rapidly growing field, and it has become a popular research area. In this study, a system was proposed to find the frequent patterns in order to increase the profit by making the frequent items available consistently. A token-based approach is proposed in order to find the frequent pattern from the intermediate output. There are many existing methods for analysing big data and frequent patterns, but the proposed system is fault tolerant, time consuming and highly reliable.
[58]	Fault recovery is a typical problem in massive computing environments because the probability that none of the thousands of computers crashes during execution of a task is close to zero. The demands of sustainable speedup and fault tolerance require highly constrained and efficient communication protocols. Thus, they show that their proposed solution is able to address the issues of memory use and fault tolerance in addition to more effectively parallelizing computation.
[67]	The main challenge in adapting algorithms to the MapReduce framework is the limitation of the communication between tasks, which are run as batches in parallel. All of the tasks that have to be executed should be defined at start- up, such that nodes do not have to communicate with each other during task execution. Fortunately, the prefix tree that is used by Eclat can be partitioned into independent groups. Each one of these independent groups can be mined separately on different machines. The proposed algorithms exploit the inter- independence of sub-trees of a prefix tree and use longer FIs as prefixes for better load balancing.

Table 8: Details of the datasets used in experiments

Reference	Dataset
[60]	In this study, they used the transactional data for an all-electronics branch and the T1014D100K dataset. They replicated it to obtain 1 GB, 2 Gb, 4 GB, and 8 GB. For the T1014D100K dataset, they have replicated it into 2 times, 4 times, and 8 times and got 0.6 GB, 1.2 GB and 2.4 GB datasets, respectively. They denoted those datasets as T1014D200K, T1014D400K and T1014D800K. Additionally, they used some transactional logs from a telecommunication company.
[117]	Sample
[74]	Accident dataset- National Institute of Statistics (NIS) for the region of Flanders (Belgium) period from 1991-2000. 340,184 records, 572 attributes and they used synthetically generated dataset
[114]	In this study, the T1014D100K dataset was used to obtain the experiment results generated by IBM's quest synthetic data generator. The total number of transactions is 100000, and each transaction contains 10 items on average; the total number of items is 1000, and the average length of frequent itemsets is 4

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D100K was	, and T1014	dataset repository,	[59] The datasets of chess, must downloaded from the UCI synthetically generated by the IB		
	tal ributes	otal Tot nstances Att		Data	
		196 36	ess 3	Che	
		124 22	shroom 8	Mus	
		7557 42	inect 6	Con	
		00000 26	14D100 10		
	<u> </u>			K	[54]
		insactional Dataset	Tra		[3]]
					[31]
		ord count Example	Wo		
		ord count Example	Wo		[83]
ynthetic data	by an IBM s	INA <sup>1</sup> wo real datasets tha one is generated 1	done using tw aracteristics: other is the ba	ve different cha nerator and the o	[49]
ynthetic data	by an IBM s	INA <sup>1</sup> wo real datasets tha one is generated l sket dataset.	done using tw aracteristics: other is the ba	ve different cha nerator and the o	[49]
ynthetic data	by an IBM system sactions in ea Max	INA <sup>1</sup> wo real datasets tha one is generated l sket dataset.	done using tw aracteristics: other is the ba	ve different cha nerator and the o Table I	[49]
ynthetic data	by an IBM system sactions in ea Max {k  F <sub>k</sub>  >0}c	INA <sup>1</sup> wo real datasets that one is generated 1 isket dataset. f Items and Trans $ F_1 $ $ F $	done using twaracteristics: other is the ba : Number of σ	ve different cha nerator and the o Table I Dataset Dataset	[49]
ynthetic data	by an IBM system sactions in ea Max	INA <sup>1</sup> wo real datasets tha one is generated l sket dataset. f Items and Trans	done using twaracteristics: other is the ba : Number of σ 0500K 700	ve different cha nerator and the o Table I Dataset	[49]
ynthetic data	by an IBM system in the sections in the section $Max = {k  F_k >0}c = 18 = 11$	INA <sup>1</sup> wo real datasets that one is generated backet dataset. f Items and Trans $ F_1 $ $ F $ 804 550126	done using twaracteristics: other is the ba : Number of σ 0500K 700 farket 7	ve different cha nerator and the o Table I Dataset Dataset T2017D Retail M	[49]
ynthetic data	by an IBM system sactions in ea $\frac{Max}{\{k    F_k  > 0\}c}$ $\frac{18}{11}$ et	INA <sup>1</sup> wo real datasets that one is generated 1 isket dataset. f Items and Trans $ F_1 $ $ F $ 804 550126 8051 285758	done using twaracteristics: other is the ba : Number of σ 0500K 700 farket 7 est Min Thres	ve different cha nerator and the o Table I Dataset Dataset T2017D Retail M	[49]
ynthetic data ch	by an IBM system of the section of	INA <sup>1</sup> wo real datasets that one is generated 1 isket dataset. f Items and Trans $ F_1 $ $ F $ 804 550126 8051 285758 hold for each Dataset	done using twaracteristics: other is the ba : Number of σ 0500K 700 farket 7 st Min Thres #Items #	ve different cha nerator and the o Table I Dataset Dataset T2017D Retail M Table II: Lowe	[49]

- Several real and synthetic datasets are used to test the performance of the [46] algorithm.
- Mushroom dataset from UCI (8124 number of records and 23 transaction [66] length)
- [58] They generated a tag transaction database and named it TTD and a URL transaction database, as shown in below

	TTD	WWD
URLs	802,939	802,739
Tags	1.021.107	1,021,107
Transaction	15.898.94	7,009,457
S	9	
Total items	84,925,90	38,333,65
	8	3

<sup>&</sup>lt;sup>1</sup> INA-Information Not Available

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[67]	•	o. Additionally,	ta, such as Abstract, T1014D100K, they used a scrape of the delicious	
		Number of	Number of	
	Dataset	Items	Transactions	
	Abstracts	4,976	859	
	T1014D100K	870	100,000	
	Mushroom	119	8,124	
	Pumsb	2,113	49,046	
	Tag	45,446,863	6,201,207	
[107]		, ,	of various shops are collected from	

Reference	Hadoop mode	#phase	Introduced Algorithm
[59]	INA	k	PApriori
[74]	Fully distributed	k	Modified Apriori
[114]	Standalone	2	MRAPRIORI
[59]	Fully Distribution	INA	Modified Apriori
[54]	Stand alone, pseudo, and fully distributed	INA	Modified Apriori
[31]	Standalone	1	Modified Apriori
[83]	Fully distribution	2	Modified Apriori
[46]	Standalone	2	DPFPM
[66]	Standalone	2	Modified Apriori
[67]	Standalone	k	Dist-Eclat and BigFIM
[58]	INA	k	Parallel FP (PFP)
[107]	INA	INA	Modified Apriori
[117]	-	1	None
[111]	-	_	Apriori-Map/Reduce

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#### 6 CHALLENGES, AND OPEN ISSUES OF BIG DATA IN THE CLOUD AND HADOOP-MAPREDUCE

### 6.1 Big data in the Cloud: Challenges

- Scalability: How can the explosion growth of data be handled in an appropriate manner?
- Availability: How can the cloud service providers be trusted to continuously provide data that are stored in the cloud?
- Data integrity: How can it be ensured that a cloud user's data are not being viewed, altered, or analysed by authorized parties or data owner?
- Data Transformation: Big data has a variety of data formats, so how can data be transformed into a suitable format for big data analysis?
- Data Quality: Big data originates from various domains, so how can high-quality data be obtained from various sources?
- Data Heterogeneity: Big data comes from numerous sources with different types of data and they have incompatible format and inconsistency, so how can the challenge of big data analysis and management on a cloud platform be addressed?
- Privacy: How can the reliability of storing private data in cloud storage be ensured?
- Legal issues: How can the unique laws and regulations be established to achieve data privacy and protection?
- Data governance: How can a welldefined and acceptable data policy, according to the type of data to be stored, how quickly an individual data access must be, and how the private data are accessed, be created?
- Timeliness: How can interaction response times to complex queries at scale over high-volume event streams be provided?
- Data visualization: How can the visualization of big data be improved in an appropriate way?

### 6.2 Big Data in the Cloud: Open Issues

- Find the more feasible techniques to simplify the transformation and cleaning of unstructured big data in a cloud platform such as the Hadoop-MapReduce distribution platform.
- Improve the techniques to store, easily retrieved, and migrated big data between cloud servers and other devices.
- There is a door for efficiently improving the data analysis tools and technologies that are highly appreciated under the cloud platform.
- Data security is the keen open issue to solve the unresolved security threats that exist in cloud computing, such as privacy, confidentiality, data integrity, and availability of big data using the incloud environment.

### 6.3 Hadoop-MapReduce: Challenges

- The current architecture of the Hadoop-MapReduce programming model does not provide a fast, scalable and distributed resource infrastructure solution. Business and scientific organisations are required to solve a wide range of data-intensive analytic problems.
- Current implementations of the Hadoop-MapReduce programming model are not able to react quickly and grow or shrink to adjust to the current workload to reduce costs while maximizing results based on the application and/or user demand.
- The current architecture of the Hadoop-MapReduce programming model does not make it easy to handle multiple application integrations on a production-scale distributed system with automated application service deployment capability.
- Current implementations of the Hadoop-MapReduce programming model are not specifically optimized to take full advantage of multi-core servers.
- Current implementations of the Hadoop-MapReduce programming model only support the Hadoop Distribution File System (HDFS). Data



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transformation is the key challenge to translate the data into an HDFS-suitable format.

### 6.4 Hadoop-MapReduce: Open Issues

- Optimization technique-related issues require study under the Hadoop-MapReduce architecture.
- MapReduce programs are based on data-intensive instead of computationintensive tasks, and, in order to achieve good performance, it is vital to minimize disk I/O and communication. Therefore, many studies seek ways to enable in-memory processing and avoid reading from disk when possible.
- Another open issue is to find the mechanism by which Hadoop could offer capabilities of tuneable fault-tolerance to users or provide automatic fault tolerance adjustment mechanisms, depending on the cluster and application characteristics.

### 7 DISCUSSION AND CONCLUSION

### 7.1 Discussion

Hadoop-MapReduce is an open-source programming software framework introduced by Google in 2004, and since then, numerous methods have been proposed to improve the performance of the Apriori algorithm on the Hadoop-MapReduce framework. According to Tables 6 and 7, researchers' perspectives can be classified as follows:

I Horizontal vs. vertical layout analysis Most of the researchers analysed the performance of the Apriori algorithm based on a horizontal layout. Eclat is the vertical dataset layout algorithm that is more efficient than the Apriori algorithm in the sequential environment. Sandy Moens et al. [67] proposed two new parallel algorithms based on the Eclat algorithm: Dist-Eclat and BigFIM. Dist-Eclat is a MapReduce implementation of the well-known Eclat algorithm that focuses on speed, while BigFIM is optimized to deal with truly Big Data by using a hybrid algorithm with both Apriori and Eclat on MapReduce. Rahman et al. [41] implemented a parallel Eclat algorithm

on Hadoop-MapReduce. The authors checked the performance, and they achieved good results.

- 1-phase, 2-phase, and *k*-phase analysis II. Researchers find all the frequent itemsets in three different ways: 1phase, which requires a single iteration to find all frequent itemsets; 2-phase, which only needs two MapReduce phases to extract all frequent itemsets; and k-phase, which needs to find all frequent itemsets in k MapReduce phases. Othman et al. compared 2-phase with the 1-phase and k-phase types. They proved that the 2-phase algorithm is much better than the 1- and k-phase algorithms. Additionally, 1-phase is worse than k-phase, as it is inefficient and slow.
- III. Hadoop mode performance analysis Hadoop can typically be configured to run in three modes: Standalone mode (on the local computer, useful for testing and debugging), pseudodistributed mode (i.e. on an emulated "cluster" of one computer, useful for testing), and fully-distributed mode (on a full cluster, for production purposes).
  - Standalone mode: this is the default mode of the Hadoop framework, i.e., it is configured to run in the non-distributed mode. None of the Hadoop daemons (NameNode, DataNode, Secondary NameNode, Job Tracker, and Task Tracker) are running. The local file system is utilized instead of the Hadoop Distribution File System (HDFS). This mode is very useful for the testing and debugging process.
  - Pseudo mode: the Hadoop daemons run on a local machine, therefore simulating a cluster on a small scale. Different Hadoop daemons run in different Java virtual machine (JVM) instances but on a single machine. Here, HDFS is exploited instead of the local file system.
  - Fully distributed mode: this mode consists of multi-node clusters and is exploiting the actual power of Hadoop.

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Researchers mostly implemented their work in the standalone and fully distributed modes (see Table 9) because the pseudo-distributed mode does not add value for most purposes. Koundinya et al. [54] tested the three-mode performance with an increasing number of transactions. From their study, the fully distributed mode is better than the standalone and pseudo modes

IV. Candidate and frequent itemset analysis Most of the Hadoop-MapReduce-based modified algorithms focused on the reduction of the number of database scans. However, the Apriori algorithm suffers when generating and storing candidate itemsets specially focusing on 2candidate itemsets. Kovacs et al. proposed an algorithm to handle 2 itemsets in a special way (see Table 6 and 7), thereby significantly reducing the response time of the Apriori algorithm, but the drawback of this method is that more memory is needed to store the itemset in a triangular matrix (spatial complexity of  $O(n^2)$ )

### 7.2 Conclusion

Currently, data are huge and exponentially increasing every day. As datasets grow past GBs to TBs or more, it has become infeasible to manage, store, and analyse them on a single sequential machine. Parallel and distributed computing offers a potential solution for the above problem when efficient and scalable parallel and distributed algorithms can be implemented. The Hadoop-MapReduce platform is more suitable for the parallel processing of huge data on many clusters using commodity hardware. Several survey studies have done by previous researchers but in this survey mainly concentrate on the parallelized Apriori algorithm on the Hadoop-MapReduce programming framework with different new software techniques such as 1-, 2-, and k-phase iteration techniques, of data layout (horizontal and vertical), and Hadoop modes and their suitability. We have presented a review of the various proposed parallel Apriori algorithm methods on the Hadoop-MapReduce platform. We analysed the related studies in several ways, such as by objectives of the studies, main themes of the proposed methods, which datasets were used to analyse the performance of the proposed software algorithms. and hardware configurations, which Hadoop mode was used,

how many phases they used, etc. Moreover, we deeply discussed different categories, such as the types of data layout (horizontal and vertical), 1-, 2-, and k-phase iteration techniques and their performance, types of Hadoop modes and their appropriateness, and how to generate more candidate itemsets. Finally, we interpreted the challenges and open issues of big data in the cloud and the Hadoop-MapReduce framework. From this study, we can ultimately conclude that the Hadoop-MapReduce platform is an efficient and scalable platform for the analysis of any big data computational problem and that the Hadoop-MapReduce-based Apriori algorithm is more efficient than the sequential version without Hadoop-MapReduce platform algorithms.

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