

# APPLICABILITY OF AN ADAPTIVE HANDOVER MECHANISM ON PRACTICAL 4G NETWORKS

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## ABSTRACT

The fourth generation (4G) and beyond networks define the future of mobile communication. Handover management in 4G networks based on the IPv6 core is a problem that exists. The co-channel interference in wireless channels effect network and handover performance. In this paper the proposed Noise Resilient Reduced Registration Time Care-of Mobile IP protocol is modelled considering practical network conditions. A threshold based handover decision making algorithm is incorporated in the proposed protocol. The results presented in this paper clearly put forth the effects of the co-channel interference on handover management and network performance in the presence of multiple users. The comparative study prove that the proposed protocol is robust and minimizes network performance degradation considering practical conditions.

**Keywords:** *Mobile Internet Protocol, Ipv6, Handover Latency, Mobility Management, Received Signal Strength, Mobile Ipv6 (Mipv6), Co-Channel Interference, Handover Request Rate.*

## 1. INTRODUCTION

The adoption of 4G and future networks in mobile communications is widely acclaimed. A recent worldwide market report (Signals and Systems Telecom 2014) provides insights of the research significance in developing such future technologies. Enhancing transmission speed, capacity and improving network performance can be considered as the goals of 4G and future networks. Efficient handover management techniques is essential to achieve these goals in 4G networks. Handover management techniques enable to maintain seamless continuity without compromising the Quality of Service (QoS). The network bandwidth available to the service providers is limited. With the limited bandwidth constraint and the ever increasing user demands the service providers reuse the frequency within the available network. The frequency reuse induce co-channel interference (CCI) (YoungBo et al 2009, Hao et al 2014). The CCI effect spectral efficiency and degrade link performance in 4G and future networks (Soret et al 2013, Wang et al 2009). The problems arising from CCI are practical and inevitable characteristics of 4G networks. The link degradation due to CCI effects the the performance of handover algorithms. Designing of handover

algorithms considering practical network conditions is a problem that exists in 4G networks.

4G networks are heterogeneous in nature integrating varied technologies over the Internet Protocol Version 6 (IPv6) core (Prakash et al 2010, Prakash et al 2011). For handover management on the IPv6 the Internet Engineering Task Force initially introduced MIPv6 protocol (Johnson et al 2004). A user node  $U$  in the wireless 4G network is addressed by the Home Address (HoA) in the home network ( $H$ ) or a Care of Address (CoA) when in other visited networks. The handover operation is initiated when  $U$  moves from the  $H$  to a visited network or when  $U$  moves from one visited network to another. To acquire the CoA the user node sends a router solicitation (RS) message addressed to the foreign agent (FA) of the visited network. To verify the identity of  $U$  the FA sends a binding update (BU) message to the correspondent node ( $C_C^N$ ). The  $C_C^N$  confirms the identity of the  $U^{th}$  user and sends the binding acknowledgement (BA) message to the FA. Post the receipt of the BA message data transmission to the  $U^{th}$  user is resumed on the new CoA. The existing handoff protocols designed on IPv6 do not consider the practical network conditions (Shaojian &

Atiquzzaman 2005, Costa et al 2002 ) like channel fading, signal degradations, interference in the overlapping regions of the network. In our previous work Bhaskar et al 2015, the drawbacks and short comings of the existing handoff protocols are clearly discussed and to overcome the drawbacks a Noise Resilient Reduced Registration Time Care-of Mobile IP (*NR\_RRTC: MIP*) protocol is proposed. The *NR\_RRTC: MIP* is an extension of the *RRTC: MIP* (Bhaskar et al 2013) protocol. In our previous work the *NR\_RRTC: MIP* protocol is designed considering the channel fading effects and the region of uncertainty/overlap region of phantom introduced by Hao et al 2014. The modelling and the simulation presented in the previous work did not consider the *CCI* effects that exist in practical scenarios. Researchers YoungBo et al (2009), Hao et al (2014), Stuber (1994), Pratesi et al (1997) and Das et al (2005) have presented the effects of *CCI* on handover performance. Based on the literature it is evident that *CCI* effects handover operations and effects network performance. Limited work is conducted to study the effect of *CCI* considering 4G networks integrated over the IPv6 core.

In this paper the authors have incorporated the effects of *CCI* in modelling the *NR\_RRTC: MIP* handover management protocol. The handover decision making algorithm of the *NR\_RRTC: MIP* protocol depends on the received signal strength observed by the user nodes  $U$ . The *CCI* induces additional interference. The additional interference induced is modelled. The *CCI* degrades the wireless links of the network which induce handover failure probabilities. The handover failure probabilities are modelled based on the handover request rates. The effects of *CCI* on handover management and network performance are studied in the simulation study considering multiple users and the robustness is proved through comparisons.

The outline of this paper is as follows. A brief of the related works discussing the effects of *CCI* on handover performance is presented in section two. In section three the modified *NR\_RRTC: MIP* protocol is presented. The performance evaluation and comparisons are presented through a simulation study in section 4. The conclusion are discussed in the last sections of the paper.

## 2. RELATED WORK

The initial attempts to study the effects of *CCI* on handover performance was presented by Stuber (1994). The importance of the auto correlation function in the analysis of handovers is discussed

by Stuber (1994). Daset al (2005) have presented the performance degradation of hard and soft handover mechanisms in code division multiple access cellular networks. The effects of *CCI* is considered in modelling the system presented by Das et al (2005). The major drawback of the model proposed by Das et al (2005), is that the authors consider a perfect inner loop power control mechanism which is not the case in practical scenarios. Pratesi, M. et al (1997) have extended the work presented by Das et al (2005) by considering multiple base station scenarios. The incorporation of the *CCI* effects is considered by Pratesi, M. et al (1997) based on the cross correlated shadow observed dependent on the angle of arrival of the user nodes. A multi-cell multi-sector analytical model considering *CCI* is presented Young Bo Cho et al (2009). Young Bo Cho et al (2009) have accurately described the modelling of the received and sent signal strength measurements. A similar signal strength measurement model is incorporated in the *NR\_RRTC: MIP* protocol. Young Bo Cho et al (2009) a signal strength based handover decision algorithm is also discussed. Hao Song et al (2014) have proposed a handover mechanism considering the Long-Term Evolution networks for railway systems. Based on the predicted received signal quality handover decision making is achieved by Hao Song et al (2014).

The *CCI* observed at the physical layer are network characteristics that cannot be ignored in the design of robust handover management protocols. In this paper the effects of *CCI* is considered in the modelling of the *NR\_RRTC: MIP* protocol. The major drawbacks of the related works presented so far is that the performance and its applicability over the IPv6 core is not discussed or proved.

## 3. PROPOSED HANDOFF MECHANISM

### 3.1 Channel Modelling with CCI

Let  $N = \{C_C^N \cup A_R^{Rtr} \cup H \cup A_N^{Pnt} \cup U_L\}$  represent a 4G network on the IPv6 core having a wireless coverage of  $\mathcal{A}$  square meters. The network  $N$  defined constitutes of  $L$  user nodes *i.e.*  $U_L$ ,  $C$  number of correspondent nodes *i.e.*  $C_C^N$ , home agent  $H$ ,  $N$  number of wireless access points *i.e.*  $A_N^{Pnt}$ ,  $R$  number of access routers *i.e.*  $A_R^{Rtr}$ . Every individual access point  $x \in N$  *i.e.*  $A_x^{Pnt}$  is connected to the IPv6 based wired backbone 4G network through an access router  $x \in A_N^{Pnt}$  *i.e.*  $A_x^{Rtr}$ . The user nodes of the

network  $N$  follow the uniform mobility model presented by YoungBo Cho et al (2009) with a maximum speed represented as  $\mathcal{V}$  m/s. In this paper the authors study the effects of CCI on the NR\_RRTC: MIP protocol presented in the previous work.

When the  $l^{th}$  user node travelling at a speed of  $\mathcal{V}_l$  m/s, moves from the  $\mathbb{A}_p^{Pnt}$  in the home network to  $\mathbb{A}_q^{Pnt}$  in another network and handover occurrence is induced. The  $l^{th}$  user node initiates a handover request on the basis of the received signal strength indicator from  $\mathbb{A}_p^{Pnt}$  and  $\mathbb{A}_q^{Pnt}$  measured at regular intervals  $\Delta_s$ . If  $f_s$  is the sampling rate, then the received signal strength (RSS) at the  $\tau^{th}$  time instance measured is  $RSS_\tau = \tau/f_s$ . The measurement interval based on the sampling rate is  $\Delta_s = v/f_s$ .

To model the CCI observed in the 4G network  $N$  based on presented by YoungBo Cho et al (2009) let us consider that  $A(\tau)$  represents antenna loss,  $F(\tau)$  represents fast fading, the shadow and path loss is represented as  $SH(\tau)$ ,  $PL(\tau)$ . At the  $\tau^{th}$  time instance let  $r(RSS_\tau)$ ,  $b_p(RSS_\tau)$  represent the position vectors of the  $l^{th}$  user node with respect to the  $\mathbb{A}_p^{Pnt}$ . Then the pilot signal strength measured from the  $p^{th}$   $\mathbb{A}^{Pnt}$  at the  $\tau^{th}$  time instance is defined as

$$RSS_p^m(\tau) = PL_p(\tau) + SH_p(\tau) + F_p(\tau) + A_p(\tau), \quad (1)$$

The path loss is defined as  $PL_p(\tau) = \mathcal{K}_1 - (\mathcal{K}_2 \times \log(\|r(RSS_\tau) - b_p(RSS_\tau)\|))$ , where the values of  $\mathcal{K}_1$  and  $\mathcal{K}_2$  are constants and are established on the basis of the environmental conditions considered. The antenna loss  $A_p(\tau)$  based on the 3GPP standard by 3GPP Technical Specification Group (2003) is defined as

$$A_p(\tau) = -\min[12((\theta|\mu), A_m)] + A_g \quad (1)$$

where  $\mu$  is bandwidth,  $A_m$  is maximum attenuation,  $\theta$  is the angle between the  $p^{th}$   $\mathbb{A}^{Pnt}$  and the user node and  $A_g$  represents antenna gain.

In Eq. (1)  $SH_p(\tau)$  represents a Gaussian noise process with a zero mean and can be expressed as  $\mathcal{R}_{SH_p}(\xi)$ , a autocorrelation function given as  $\mathcal{R}_{SH_p}(\xi) = (\sigma_{SH_p}^2) \times \left( e^{-\frac{(|PL|\Delta_s)}{4\sigma}} \right)$ . The fast fading  $F_p(\tau)$  can be eliminated by adopting the averaging window  $f_{av,w} = (\Delta_{av,w}^{-1}) \times \left( e^{-\frac{(-\tau\Delta_s)}{\Delta_{av,w}}} \right)$ .

Let us consider that  $b \Leftrightarrow e^{-\frac{(-\Delta_s)}{\Delta_{av,w}}}$  represents the effective window size of the averaging filter, then

the averaging window at the  $\tau^{th}$  time instance can be represented as  $f_{av,w}(\tau) = \left( \frac{b^\tau}{\Delta_{av,w}} \right)$  When  $\tau \geq 0$

Considering the  $L = 1$ , the processed pilot signal strength  $\mathbb{R}_p^{L=1}(\tau)$  from the  $p^{th}$   $\mathbb{A}^{Pnt}$  and can be defined as

$$\mathbb{R}_p^{L=1}(\tau) = f_{av,w}(\tau) * RSS_p^m(\tau) \text{ When } \tau \geq 0. \quad (2)$$

CCI is generally observed when the number of user nodes are greater than unity i.e.  $L > 1$ . Let  $\xi_{m,n}$  represent the cross correlation between the  $m^{th}$  |  $m \in L$  user node and  $n^{th}$  |  $n \in L$  user node accessing data from the same  $\mathbb{A}^{Pnt}$  on the same frequency. The cross correlation  $\xi_{m,n}$  is defined as

$$\xi_{m,n} = \lambda_1 \cos(\theta_{m,n}) + \lambda_2, \text{ for } m \neq n, \quad (3)$$

where  $\lambda_1$ ,  $\lambda_2$  represent constants and  $\theta_{m,n}$  represents the angle between the  $m^{th}$  and  $n^{th}$  user node considering the  $\mathbb{A}^{Pnt}$  as the vertex. YoungBo Cho et al (2009) consider the processed pilot strength  $\mathbb{R}_p^{L>1}(\tau)$  considering  $L \geq 2$  user nodes can be derived.

At the time instance  $\tau$  the cumulative or the processed pilot signal strength from the  $p^{th}$   $\mathbb{A}^{Pnt}$  and the  $L - 1$  number of interfering signals of the other user nodes is defined as

$$\mathbb{R}_p(\tau) = 10 \log \left( \left( 10^{(\mathbb{R}_p^{L=1} \times 0.1)} + \left( \sum_{l=1}^{L-1} 10^{(\mathbb{R}_p^{L>1} \times 0.1)} \right) \right) \right) \quad (4)$$

The first term in Eq. (5) i.e.  $\left( 10^{(\mathbb{R}_p^{L=1} \times 0.1)} \right)$  represents the desired signal free of interference and the second term i.e.  $\left( \sum_{l=1}^{L-1} 10^{(\mathbb{R}_p^{L>1} \times 0.1)} \right)$  represents the CCI component. Based on the above equation it can be observed that the  $\mathbb{R}_p$  varies in the presence of interfering users i.e. as the user nodes increase the CCI increases. In the NR\_RRTC: MIP on the basis of the  $\mathbb{R}_p$  the handover decisions are achieved.

### 3.2 Threshold Based Handover Decision Making

The NR\_RRTC: MIP discussed in this paper adopts a threshold based decision making algorithm. As stated earlier the user nodes  $\mathcal{U}_L$  measure the received signal strength  $\mathbb{R}_p$  from the  $\mathbb{A}^{Pnt}$  at regular intervals. If the signal strength  $\mathbb{R}_p$  measured is below a certain minimum

threshold  $\psi$  then an handover operation is initiated.

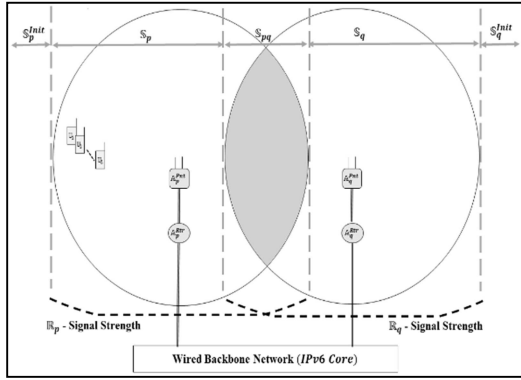


Figure. 1. Handover Decision Making System Architecture

Let us consider a sample 4G network integrated over a IPv6 core shown in Fig 1. The network consists of two wireless networks maintained by  $A_p^{Pnt}$  and  $A_q^{Pnt}$ . The routers i.e.  $A_p^{Rtr}$  and  $A_q^{Rtr}$  provide the connectivity to the wired backbone network. The signal strength observed by the user nodes  $U$  is represented as  $R_p, R_q$  (represented a black dotted line). Considering the network covers and area  $S \Leftrightarrow (-\infty, \infty)$ . Let us consider that  $U_L$  are present in the network. The signal strength measured by the  $U_L$  nodes at the  $\tau^{th}$  time instance in the network is defined as  $R(\tau) = R_p(\tau) - R_q(\tau)$ . Based on the signal strength observed by the  $U_L$  nodes the network is considered to constitute of three sectors. Sector  $S_p \Leftrightarrow [\psi_p, \infty)$  where the signal strength from  $A_p^{Pnt}$  is i.e.  $R_p$  is dominant. Sector  $S_q \Leftrightarrow (-\infty, -\psi_q]$  where the signal strength from  $A_q^{Pnt}$  is i.e.  $R_q$  is dominant. The intersecting sector  $S_{pq} \Leftrightarrow (\psi_p, -\psi_q)$  is called as the region of uncertainty or also overlap region of phantom by Hao Song et al (2014). In the overlapping region it can be observed that the signal strength  $R_p, R_q$  deteriorates which induces handover failures due to loss of RS and BU message loss. Coupled with the CCI and the deteriorating signals additional handover failure occurrences are observed. If the user nodes  $U_L$  move from  $A_p^{Pnt}$  towards  $A_q^{Pnt}$  handover operations are initiated at every user node, if the difference in the measured signal strength falls below  $-\psi_q$ . Similarly handover operations are initiated when the measured signal strength is above  $+\psi_p$  when the user nodes  $U_L$  move from  $A_q^{Pnt}$  towards  $A_p^{Pnt}$ .

A user node  $U_L$  at time instance  $\tau$  is assigned to the  $A_p^{Pnt}$  in the section  $S_p$  if  $S(\tau) \in S_p$  or if  $S(\tau') \in S_p$  for some  $\tau' < \tau$  and  $R(\tau'') \in S_{pq}$  for the time instance  $\tau' < \tau'' \leq \tau$ . Let  $R\left(\frac{\tau}{q}\right)$  represent a sequence of  $q$  measured signal strength values of  $\{R(\tau)\}$  in time  $\tau$  seconds and is defined as

$$R\left(\frac{\tau}{q}\right) \Leftrightarrow (R(\tau - q + 1), R(\tau - q + 2), R(\tau - q + 3) \dots \dots R(\tau)), \quad (6)$$

where  $1 \leq q \leq \tau + 1$ .

The sequence  $R\left(\frac{\tau}{q}\right)$  can also be presented as a tuple of cardinality  $\tau$  as  $\alpha \Leftrightarrow \{S_p^{Init}, S_p, S_q^{Init}, S_q, S_{pq}\}$ . Let us consider a tuple  $S_{pq}^r$  of cardinality  $r$ . The tuple  $S_{pq}^r$  consists of  $r$  repetitions of the symbol  $S_{pq}$  based on the node mobility. It is assumed that the traversal of the  $l^{th}$  user node  $U_L$  in the network  $N$  begins from  $S_p^{Init}$  or  $S_q^{Init}$  at time  $\tau = 0$ . On the basis of its speed  $V$  and time instance  $\tau \geq 1$  the user node  $U_L$  is present in either one of the three sections  $S_p, S_{pq}$  or  $S_q$ .

Based on our previous work the mean number of handover occurrences  $\bar{O}$  of the user node  $U_L$  travelling at a speed of  $V$  m/s and based on its traversal trajectory is computed using

$$\bar{O} = \sum_{\tau=1}^{\tau} (P_{pq}(\tau) + P_{qp}(\tau)), \quad (7)$$

where  $\tau$  denotes the total number of sampling intervals,  $P_{pq}(\tau)$  is the probability that  $U_L$  is assigned to  $A_q^{Pnt}$  from  $A_p^{Pnt}$  and  $P_p(\tau)$  denotes the probability that  $U_L$  is assigned to  $A_p^{Pnt}$  from  $A_q^{Pnt}$ .

Let us assume an handover occurrence  $\tau^{th}$  time instance is initiated by the user node  $U_L$  between the  $A_p^{Pnt}$  to  $A_q^{Pnt}$ . The signal strength measured is computed using

$$R^S(\tau) = Q(\tau) + X_p(\tau) + X_q(\tau), \quad (5)$$





where the parameters  $Q\tau = (\max(\mathbb{R}_p(\tau), \mathbb{R}_q(\tau)))$ ,  $X_p(\tau) = (1_{C_p(\tau)} \cdot \text{Min}\{0, \mathbb{R}(\tau)\})$  and  $X_q(\tau) = (1_{C_q(\tau)} \cdot \text{Min}\{0, -\mathbb{R}(\tau)\})$ . In the computation of  $X_p(\tau)$  and  $X_q(\tau)$ ,  $1_Z$  denotes the indicator function on the set  $Z$ ,  $C_p(\tau)$  and  $C_q(\tau)$  represent  $\tau^{th}$  time instance when the user node  $\mathbb{U}_i$  is assigned a home address or care of address from the  $\mathbb{A}_p^{Pnt}$  or  $\mathbb{A}_q^{Pnt}$ .

When the user node  $\mathbb{U}_i$  moves from sector  $\mathbb{S}_p$  into the sector of uncertainty  $\mathbb{S}_{pq}$  and handover request is sent to the  $\mathbb{A}_p^{Pnt}$ . As shown in Fig. 1 the signal strength  $\mathbb{R}_p(\tau), \mathbb{R}_q(\tau)$  from  $\mathbb{A}_p^{Pnt}$  and  $\mathbb{A}_q^{Pnt}$  degrades in the sector  $\mathbb{S}_{pq}$ . In *NR\_RRTC:MIP* where the handover operation is initiated when the measured  $\mathbb{R}(\tau)$  is below a threshold  $-\psi_q$ . The signal degradation or interference of the  $\mathbb{U}_i$  experienced in the region  $\mathbb{S}_{pq}$  on the basis of  $\mathbb{R}_p(\tau), \mathbb{R}_q(\tau)$  is computed as

$$F(\tau) \Leftrightarrow F_p(\tau) + F_q(\tau), \quad (6)$$

where  $F_p(\tau) = -X_i(\tau)$  is the channel interference observed due to the degrading signals from  $\mathbb{A}_p^{Pnt}$ , and  $F_q(\tau) = -X_q(\tau)$  represents the interference observed due to the degrading signals from  $\mathbb{A}_q^{Pnt}$ . Considering  $X(\tau) = X_i(\tau) + X_q(\tau)$  the signal interference can be presented as  $F(\tau) \Leftrightarrow -X(\tau)$ .

The mean noise induced in the channel due to the degraded signals is computed using  $\bar{F}[\tau] \Leftrightarrow \mathbb{C}\{F(\tau)\}$ . The presence of the remaining user nodes i.e.  $\mathbb{U}_{L-l} = \mathbb{U}_L \cap \mathbb{U}_l$  causes *CCI* as they operate on the same frequency. Considering the *CCI* the cumulative interference observed is defined as

$$\hat{F} \Leftrightarrow (\max_{1 \leq t \leq T} \{\bar{F}(\tau)\}) + \left( \sum_{l=1}^{L-1} 10^{(\mathbb{R}_p^{L>1} \times 0.1)} \right), \quad (7)$$

where the first term  $\max_{1 \leq t \leq T} \{\bar{F}(\tau)\}$  represents maximum value of  $\bar{F}[\tau]$  observed in the time period  $T$ .

The signal fading and the *CCI* cause degradation of the signal received by the user nodes. The cumulative interference computed result in transmission errors of the *BU* and *RS* messages. The loss in *RS* and *BU* messages result in increase in handover latencies observed by the user nodes.

The results presented in the succeeding sections of the paper prove the fact.

### 3.3 Effect of CCI on Handover Probabilities

Let  $C_p(\tau)$  and  $C_q(\tau)$  represent circumstances or events that occur at the time  $\tau$  when the  $\mathbb{U}_i$  is attached with  $\mathbb{A}_p^{Pnt}$  and  $\mathbb{A}_q^{Pnt}$ . The handover decision is executed by transmitting *RS* message in the *NR\_RRTC:MIP* when the received signal strength falls below the threshold  $\psi$ . Let  $C_p^c(\tau)$  represent the circumstance at time  $\tau$  let when the  $RS_p(\tau)$  from  $\mathbb{A}_p^{Pnt}$  is below the threshold  $\psi$  i.e.  $C_p^c(\tau) \Leftrightarrow \{RS_p(\tau) < \psi\}$ . Similarly the circumstance  $C_q^c(\tau)$  is defined as  $C_q^c(\tau) \Leftrightarrow \{RS_q(\tau) < \psi\}$ . The handover operation  $Y$  executed at time  $\tau$  by transmitting the *RS* message to the  $\mathbb{A}^{Pnt}$  can be defined as

$$Y(\tau) \Leftrightarrow (C_p(\tau) \cap C_p^c(\tau)) \sqcup (C_q(\tau) \cap C_q^c(\tau)). \quad (11)$$

To simplify Eq. 11 the handover operation  $Y$  can be given as

$$Y(\tau) \Leftrightarrow Y_p(\tau) \sqcup Y_q(\tau), \quad (12)$$

where  $Y_p(\tau), Y_q(\tau)$  represents the assignment of the  $\mathbb{U}_i$  through the *BU* message to  $\mathbb{A}_p^{Pnt}$  and  $\mathbb{A}_q^{Pnt}$ .

The fading channels introduce the ping pong effects by Shun-Ren Yang and Yung-Chun Lin et al (2008), Maturino-Lozoya, H and Munoz-Rodriguez et al (2000) and Das S et al (2005) especially in the overlapping sections of the network i.e.  $\mathbb{S}_{pq}$ . These effects induce a number of unsuccessful handovers and were considered in our earlier work to model the handover probability. The *CCI* experienced by the  $\mathbb{U}_i$  induces handover failures. The *CCI* is induced due to the ongoing transactions (between between the user nodes  $\mathbb{U}$  and the corresponding  $\mathbb{A}^{Pnt}$ ) and the handover requests generated by the user nodes  $\mathbb{U}$  to the  $\mathbb{A}^{Pnt}$ .

Let us consider that  $L$  number of user nodes are present in the section  $\mathbb{S}_{pq}$ . Of the  $L$  user nodes let us consider that  $x$  user nodes have a *CoA* or *HoA* and are transacting with the  $\mathbb{A}_p^{Pnt}$  and  $\mathbb{A}_q^{Pnt}$ . The remaining nodes in the section can be represented as  $y = (L - x)$ . The  $y$  number of nodes not associated with  $\mathbb{A}_p^{Pnt}$  and  $\mathbb{A}_q^{Pnt}$  are considered as the user nodes requesting for handovers. Let  $\Phi_x$  represent the rate at which the  $x$  nodes transact

with the  $A^{Pnt}$ . The handover request rates induced by the  $y$  nodes is denoted by  $\Phi_y$ . The handover failure probability in the section  $S_{pq}$  can be computed using

$$\mathcal{H}^F = \frac{\left( \frac{((\partial\Phi_x + \partial\Phi_y)^L)}{L} \right)}{\left( \sum_{l=0}^L \frac{(\partial\Phi_x + \partial\Phi_y)^l}{l} \right)}$$

where  $\partial = \frac{1}{f_s}$ .

In the section  $S_{pq}$  handover requests can be sent by  $U$  to  $A_p^{Pnt}$  and  $A_q^{Pnt}$ . The successful handover probability considering  $A_p^{Pnt}$  is defined as  $\mathcal{H}_p \Leftrightarrow \mathbb{P}\{Y_p(\tau)\}$ . The successful handover probability considering  $A_q^{Pnt}$  is  $\mathcal{H}_q \Leftrightarrow \mathbb{P}\{Y_q(\tau)\}$ .

The handover probability in the section  $S_{pq}$  at time  $\tau$  is defined as

$$\mathcal{H}(\tau) = \mathcal{H}_p(\tau) + \mathcal{H}_q(\tau) + \mathcal{H}^F, \quad (9)$$

The computation of the successful handover probabilities is discussed in our previous work. From Eq. 14 it is clear that as the handover failures increase the handover latencies increase. The  $CCI$  experienced by the user nodes in the 4G network  $N$  increase the handover failure rates thereby enhancing handover latencies.

#### 4 Performance Evaluation

To evaluate the performance of the  $NR\_RRTC:MIP$  protocol the authors have considered the OMNET++ simulator by OMNET++ Discrete Event Simulation System. The adoption of the MiXiMs. Valentin et.al (2008) framework on the OMNET++ simulator is considered to simulate the channel fading and  $CCI$  conditions. The experimental test bed considered with the overlapping region of two networks is shown in Fig 2. The test bed considers two access routers  $A_{Home}^{Rtr}$  and  $A_1^{Rtr}$ . The routers  $A_{Home}^{Rtr}$  and  $A_1^{Rtr}$  are connected to router  $R_2$  which provides the connectivity to the back bone network through the *hub*. All the components of the test bed operate on  $IPv6$  addresses. The routers  $A_{Home}^{Rtr}$  and  $A_1^{Rtr}$  provide connectivity to access points  $A_{Home}^{pnt}$  and  $A_1^{pnt}$ . The  $A_{Home}^{pnt}$  and  $A_1^{pnt}$  considered in the test bed operate on the IEEE 802.11 standard

ata frequency of 2.4 GHz. The  $CN$  in the test bed is used to host a ping application and addresses all the user nodes  $U_L$  in the network. To understand the effects of  $CCI$  on handovers we need to model the scenarios for varied handover request rates i.e.  $\Phi_y$ . To induce  $CCI$  we need to simulate cases wherein the user nodes  $U_L$  simultaneously perform the similar required tasks like sending handover requests, data requests etc. Varied number of user nodes are considered to achieve the desired handover request rates. Considering 1,5, 15 and 20 user nodes varied values of  $\Phi_y$  are achieved. The simulation time, number of user nodes and the corresponding  $\Phi_y$  simulated is summarised in Table 1. The mobility speed i.e.  $\mathcal{V}$  of all the user nodes is considered to be  $10\text{ m/s}$ . Random waypoint mobility of the nodes is considered in the experimental study. The performance of the  $NR\_RRTC:MIP$  protocol is compared with the state of art  $RRTC:MIP$  protocol.

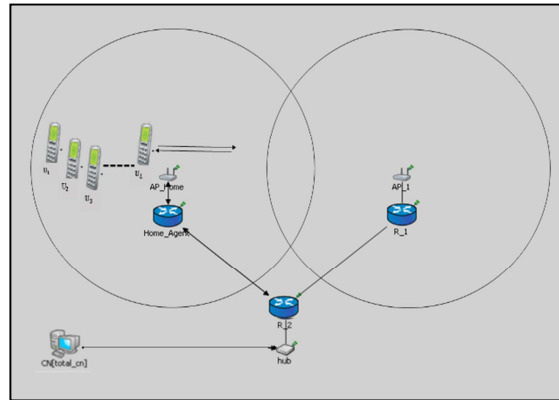


Figure. 2 Experimental Testbed Considered On The OMNET++ Simulator

Table 1: Simulation Configurations considered For Performance Evaluation

NUMBER OF USER NODES $U_L$	HANDOVER REQUEST RATE $\Phi_y$ (Requests/Sec)	SIMULATION TIME(s)
1	1	79.99
5	2.29	79.99
15	2.72	79.99
20	3.71	79.99

#### 4.1 Effect of CCI on Handover Latency

To study the effects of CCI on the handover latencies, varied rates of  $\Phi_y$  are considered. In the simulation study all the  $U_L$  (for  $L = 1, 5, 15$  and  $20$ ) are initially attached to the  $A_{Home}^{pnt}$  represented by AP\_Home in Fig.2. When the signal strength  $R$  in the over intersecting sector of the network falls below the threshold  $\psi$  then the  $U_L$  initiates the handover by sending RS message to  $A_1^{pnt}$  (represented as AP\_1 in Fig.2.). The  $A_1^{pnt}$  receives the BU and assigns a new CoA to the  $U_L$  thereby completing the registration. The time delay observed from the instance the RS is transmitted to obtaining the CoA is termed as the registration latency. Post the registration data, transmission (from the Ping application at the CN) to the  $U_L$  is resumed through  $A_1^{pnt}$ . The total delay observed by the  $U_L$ , starting from the RS message transmission to receiving the data on the new CoA is called the handover latency. The registration latency and the handover latencies observed at each of the user nodes in the simulation study varied due to the mobility patterns and CCI. It was observed that as the handover request rates  $\Phi_y$  increase, the handover latencies increase considering both the protocols NR\_RRTC: MIP and RRTC: MIP. This observation proves the concept presented in Eq 13 and Eq 14 of this paper. The increase in  $\Phi_y$  increases the handover drop probability  $\mathcal{H}^F$ . As the drop probabilities increase the handover latency increases as seen in Fig 3. Note the average value is represented in the Fig.3. Trendlines were added to understand the nature of the graph the trendline analysis for NR\_RRTC: MIP and RRTC: MIP observed are as follows

$$y_{NR\_RRTC: MIP} = (0.276 \times \ln(\Phi_y)) + 0.5457, \quad (15)$$

$$y_{RRTC: MIP} = (0.23731 \times \ln(\Phi_y)) + 1.575,$$

Where  $y_{NR\_RRTC: MIP}$  and  $y_{RRTC: MIP}$  represent the handover latency corresponding to the handover request rate  $\Phi_y$ . The logarithmic nature of the handover latencies observed confirms the correctness of Eq. 5. In Eq.5 the interference due to CCI is shown. Higher interference is observed as the handover request rates increase. From the Fig.3

it is evident that the NR\_RRTC: MIP protocol exhibits lower handover latencies by about 56.6% when compared to the RRTC: MIP due to the R signal based handover decision algorithm. Based on the results it can also be stated that in dense 4G networks as the handover drop probability  $\mathcal{H}^F$  increases due to the CCI the handover latencies increase. Incorporating efficient handover management protocols based on robust decision making algorithms can reduce the effect of CCI in such networks.

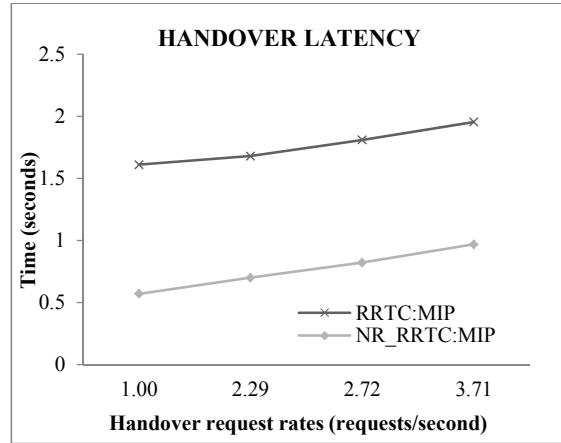


Fig. 3. Average Handover Latency Observed Considering Varied Handover Request Rates

#### 4.2 Effect of CCI on network performance

To study the effects of CCI on network performance the authors have considered a ping application hosted at the CN addressing all the user nodes  $U_L$  in the network. The ping application in the simulation study considers a payload of 56 bytes. Uniform simulation duration is considered and the packet transmissions through the network is monitored. The drop rate observed at the application layer is shown in Fig.4 of this paper. The average drop rate of 7.69% is observed considering the RRTC: MIP protocol when compared to a low average drop rate of 2.96% considering the NR\_RRTC: MIP protocol. As the handover request rates increase the drop rates increase due to the increase in handover periods as during these periods no application layer data is transmitted to the  $U_L$  in the network. The ping pong effects induced due to the fading effects is not considered in the RRTC: MIP protocol which further contribute to increased packet drop rates.

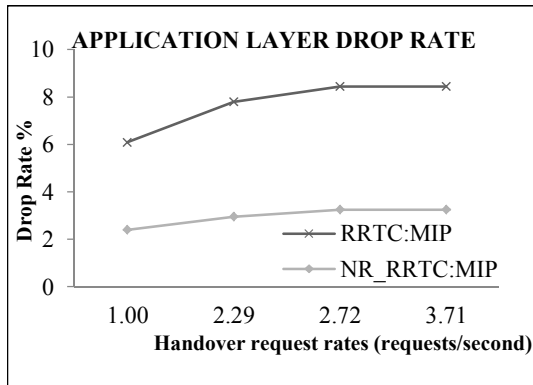


Figure 4. Application layer drop rates considering varied handover request rates

In each simulation considered one handover per user node per simulation is considered i.e. from  $A_{Home}^{pnt}$  to  $A_1^{pnt}$ . Hence data analysis at the  $A_1^{pnt}$  is critical to clearly understand the effect of CCI on handover operations and network performance. The 802.11 MAC frames transacted (i.e. sent and received) at  $A_1^{pnt}$  are observed and collisions at the wireless MAC layer are noted. The collisions observed are shown in Fig. 5. It is observed as the handover request rates increase the number of packets lost due to collisions increase. The packet collisions observed in the NR\_RRTC:MIP protocol are less when compared to the RRTC:MIP protocol.

The network performance can also be analyzed based on the packet buffer / queue sizes in the maintained in the network. Lower number of packets in the queues denote better performance. The packet queues maintained at the  $A_1^{pnt}$  are noted and the results obtained is shown in Fig. 6 of the paper. The average packet queue lengths maintained at  $A_{Home}^{pnt}$  considering varied handover request rates in shown in Fig. 7. The packets in the queue increase as the number of users in the network increase. At  $A_1^{pnt}$  the packet queues considering the NR\_RRTC:MIP and RRTC:MIP protocol is almost of similar lengths for lower handover request rates i.e.  $\Phi_y \leq 2.29$ . At higher handover request rates the reduction in queue lengths in the NR\_RRTC:MIP protocol is clearly seen by the divergent nature of the graph. At  $A_{Home}^{pnt}$ , (to which all the  $U_L$  in the network are initially connected) the queue length reduction of 2.33% is observed considering the NR\_RRTC:MIP protocol when compared with the RRTC:MIP protocol. Base on application layer drop rates, packet collisions and queue length

results obtained, better performance of the NR\_RRTC:MIP protocol in comparison to RRTC:MIP protocol is confirmed.

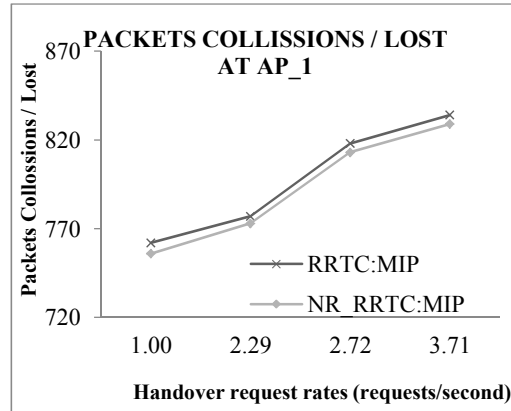


Figure 5. Packet Collisions At MAC Layer Of AP\_1 Considering Varied Handover Request Rates

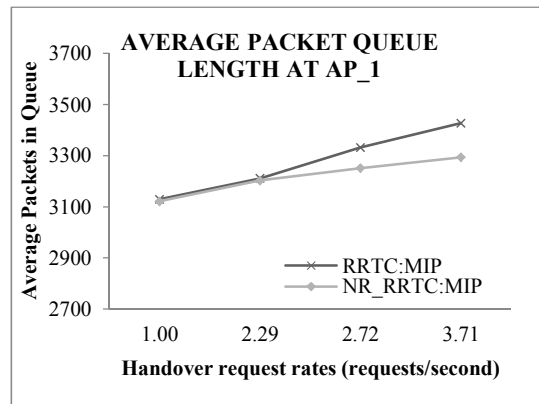


Figure 6. Average Packet Queue Lengths At AP\_1 Considering Varied Handover Request Rates

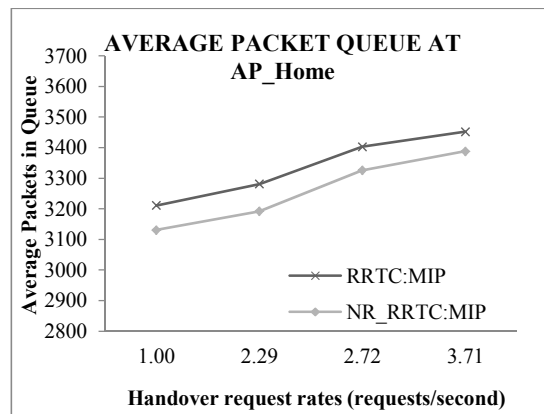


Figure 7. Average Packet Queue Lengths At AP\_Home Considering Varied Handover Request Rates



### 4.3 Effect of CCI on user nodes considering NR\_RRTC: MIP protocol

The *CCI* primarily effects the  $\mathbb{U}_L$  in the network. To further study the effects of *CCI* in the *NR\_RRTC: MIP* protocol the number of user nodes in the network are increased. The wireless *MAC* layer of the user nodes are monitored and the number of packet collisions at each user node is noted. The average number of collisions is computed and the results obtained is shown in Fig. 8. From the figure it is clear that when the number of user nodes are less (i.e.  $\mathbb{U}_L \leq 5$ ) the effects of *CCI* on the user node performance is negligible. When the number of user nodes in the network increase, performance of the  $\mathbb{U}_L$  in the network deteriorates primarily due to *CCI* which induces collisions.

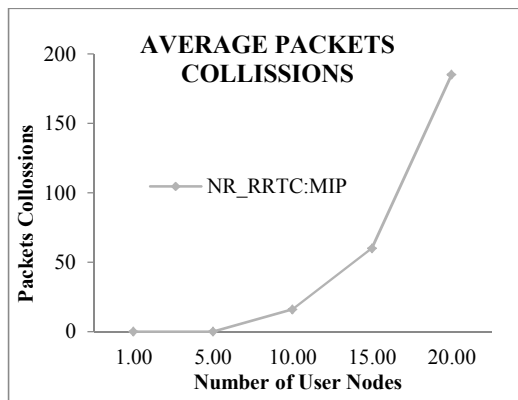


Figure. 8. Average Packet Collisions Observed At The Wireless MAC Layer Of The User Nodes.

## 5. CONCLUSION

The signigance of 4G and future networks is discussed. Handover management is critical to acheive good network performance and provide seamless connectivity to the user nodes. The *CCI* observed at the physical layer effect the handover mechanisms. Limited work is carried out for development of handover mechanisms considering the *IPv6* based networks and *CCI*. In this paper the *NR\_RRTC: MIP* protocol for handover management is presented. The *CCI* and it effects on the measured signal strength is discussed. A threshold based decision making algorithm is considered in the *NR\_RRTC: MIP* protocol. The computation of handover success and failure probabilities are presented. A simulation study to demonstrate the effects of *CCI* on handover and network

performance is shown on the OMNET++ simulator. The simulation study considers multiple user scenaios used to modell varied handover request rates. The results prove the robustness of the *NR\_RRTC: MIP* protocol in comparison to the state of art existig algorithms.

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