



# ADAPTIVE DYNAMIC RESOURCE SYNCHRONIZATION DISTRIBUTED MUTUAL EXCLUSION ALGORITHM (ADRS)

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## ABSTRACT

Existing mutual exclusion algorithms for distributed systems are not well suitable for mobile system, because of several limitations: small memory, a relatively slow processor, low power batteries, and communicate over low bandwidth wireless communication links. In this paper, we adapted the DRS mutual exclusion algorithm applying it on the infrastructure machines that communicate directly with the mobile hosts, called Mobile Support Stations (MSS) with some modifications. As DRS algorithm, the adapted version ADRS tends to minimize the number of messages needed to be transmitted in the system, by reducing the number of sites involved in the mutual exclusion decision, and reducing the amount of storage needed at different sites of the system.

**Keywords:** *Distributed systems, Permission, Synchronization, Mutual exclusion, MSS.*

## 1. INTRODUCTION

The resource allocation problem is one of the most important problems in mobile systems due to the special characteristic of mobile environment: the sites do not share any memory and communicate completely by message passing. The wireless communication channels were used by the mobile system have lower bandwidth than the wired communication links. Any distributed mutual exclusion algorithm should take this constraint into consideration [1][2].

Many distributed algorithms for mutual exclusion that have been proposed since last decade classified in two categories: token based and permission based [3], permission based mutual algorithms [4][5][6][7] impose that a requesting node must receive permission from other nodes through cycles of messages exchanged among nodes. Examples of permission based mutual exclusion algorithms are Lamport's algorithm which require  $3(n-1)$  messages [8], Ricart and Agrawala [13] for requires  $2(n-1)$  messages per CS entry, Mamoru Maekawa proposed a distributed mutual exclusion algorithm

[5] which requires only  $3\sqrt{n}$  to  $5\sqrt{n}$  messages per mutual exclusion. In token based mutual exclusion algorithms [1][9][2] a unique token is shared among set of nodes, if the token is available locally no communication is needed and the node that hold the token can enter critical section (CS), otherwise an adequate mechanism must be taken to locate it [10]. In addition, an expensive regenerate protocol needed if the node that holds the token fails during holding it. Suzuki and Kasami's token-based algorithm requires  $n$  messages per CS entry [11]; Raymond's algorithm [2] complexity is  $O(\log n)$  under light demand. Algorithm proposed by Pranay, Chaudhuri, Mehmet Hakan Karaata [12] achieves the message complexity as  $O(n/3)$  per mutual exclusion

In most of the previously proposed mutual exclusion algorithms, a requesting site must consider every site in the system whether that site is requesting CS or not. These algorithms have a drawback because sites that are not competing for the CS also sent request messages; such algorithms are not suitable for mobile computing systems that use low bandwidth. Some of the algorithms also



based on the idea of queues, which require a relatively large amount of storage that is not available in the mobile systems.

In the last ten years a new approach has been taken to allocate resource in mobile ad-hoc network (MANET), different Cluster based algorithms are proposed [13][14][15] to reducing the message complexity, in this algorithms no need for specific coordinator (message router) for a cluster. Thus, no reelection of coordinator is required. The Dynamic resource synchronizer (DRS) algorithm [16], it's called dynamic because the node that manage the critical section "synchronizer" is dynamically changed according to certain criteria that reduce message traffic among the nodes[16].

In this paper we proposed an idea to achieve the synchronization, also its try to overcome the limitations for the literature distributed mutual exclusion algorithms. This idea is an updated version from a DRS algorithm mentioned in [16].

The organization of this paper is as follows: Section 2 presents briefly describes the DRS algorithm. The Mobile System Environment and Model described in section 3. In section 4 we describe our adaptive DRS algorithm and its assumptions. Section 5 traces the execution rules of the algorithm. The correctness of ADRS is presented in section 6. In section 8 we discuss the performance issues of ADRS. And finally we conclude our work in section 8.

## 2. OVERVIEW OF DRS

DRS algorithm assumed that the system consists of  $n$  independent mobile nodes labeled ( $N_0, N_1, \dots, N_n$ ), communicating by message passing over a wireless network. Each node in the system is assumed to be running an application whose states are partitioned into four sections:

**WAITING:** where the node has requested access to the CS.

**CRITICAL:** in which the node is executing the CS.

**SYNCHRONIZER:** node currently responsible for handling mutual exclusion access to the CS. There is one and only one node in this state in the system at any instance. Initially one node is set to this state.

**REMINDER:** where the node is neither requesting nor executing the CS. All nodes are initialized to this state.

Nodes in the system cycle through REMINDER to WAITING to CRITICAL to REMINDER to SYNCHRONIZER state, and nodes never stop

executing while CRITICAL. A node exits the SYNCHRONIZER state either if any other node exits CS.

The major data structures used by DRS algorithm were:

- Status:** indicates whether a node is in the WAITING, CRITICAL, SYNCHRONIZER, or REMINDER section.
- Next:** pointer to the process next in the logical ring. Processes are connected to each other forming a logical ring.
- Queue:** pointer to the process next in the waiting queue. This pointer is set to nil if the process is the one at the end of the queue or if it is not involved in the queue.
- Busy:** a Boolean flag used only by the SYNCHRONIZER, it is set to TRUE if any node in the system is currently CRITICAL. Initially this is FALSE in all nodes.
- Synch:** address of the current SYNCHRONIZER node.
- Critical:** address of the node currently in the CRITICAL state. The node uses this variable when it is in the SYNCHRONIZER state.

Five types of messages are communicated in the system:

- REQUEST:** a message sends by a REMINDER node that is wishing to be CRITICAL.
- GRANT:** a message sends by the SYNCHRONIZER to the next process in queue.
- RELEASE:** a message sends by the CRITICAL to the SYNCHRONIZER when exiting the CS.
- YAS (You Are Synchronizer):** send by the SYNCHRONIZER to transfer the synchronization state.
- ADD:** a message send by the SYNCHRONIZER to add a new node to the queue.
- GHANGE:** a message sends by the SYNCHRONIZER to the CRITICAL to inform it of the SYNCHRONIZER state transfer.

A slightly different version of the DSR algorithm is presented also. It takes into consideration the fact that it is not desirable in a dynamic system that the same node will be in SYNCHRONIZER state for a

long time, to insure fairness in the system. Using this version, the SYNCHRONIZER state will circulate among all nodes in the system and no node will remain SYNCHRONIZER forever. In this algorithm, a node exits the SYNCHRONIZER state either if any other node exits CS, or its time is finished. A node that frequently enters the CS, will have higher probability to be in SYNCHRONIZER state.

So they add a new data structure to the previous data structures called timer, which is used by the SYNCHRONIZER. Assuming T is the maximum period of time a node stays in SYNCHRONIZER state.

We will depend on some of the above basic structure in our algorithm but with modification suitable to our new model.

### 3. MOBILE SYSTEM ENVIRONMENT AND MODEL

As we mentioned previously, the mobile systems have special constraints that cannot be captured by traditional distributed systems. These constraints are memory limitations, limited battery life and working under low bandwidth.

In this paper, the proposed algorithm takes in consideration these constraints based on the same system model used in [1] which we represent it as in figure 1, where a host that can move while retaining its network connections is a Mobile Host (MH). The infrastructure machines that communicate directly with the mobile hosts are called Mobile Support Stations (MSS). A cell is a logical or geographical coverage area under an MSS. All MHs that have identified themselves with a particular MSS, are considered to be local to the MSS. An MH can directly communicate with an MSS (and vice versa) only if the MH is physically located within the cell will be served by the MSS, and each MH belongs to only one cell at time.

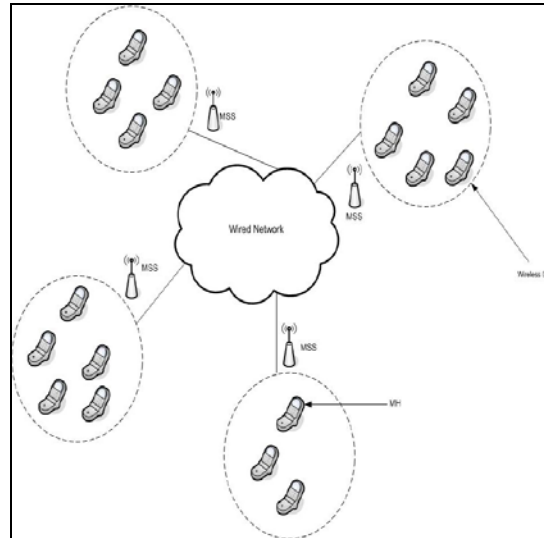


Figure 1: System Model

### 4. ADAPTATION DYNAMIC SYNCHRONIZER ALGORITHM FOR MSS (ADRS)

In this section, another version of the dynamic synchronizer algorithm; in which nodes are MSSs not MHs. A MH that needs to access a CS sends its request to its local MSS, which then inserts it at the tail of a request queue. If that MH was the first in the request queue the MSS initializes a REQUEST message and sends it to its neighbor, it become in WAITING state, and continue working. It may receive other requests for the CS from other MHs in its region, which it adds to the tail of the request queue. When the WAITING MSS eventually receives a GRANT message, it moves all MHs in the request queue to a grant queue, where they are allowed to enter the CS sequentially. After servicing all MHs in the grant queue, the MSS sends a RELEASE message to the SYNCHRONIZER MSS, then the algorithm proceeds in the normal way.

#### 4.1 System Description and Assumptions

##### 4.1.1 Assumptions

The proposed idea was applied on the MSS side to manage the synchronization, also its try to overcome the above limitations for the literature distributed mutual exclusion algorithms mentioned in section 2.

Our solution needs to consider the following assumptions and conditions for the distributed environment:



1. All nodes in the system are assigned unique identification numbers from 1 to N.
  2. There is only one requesting process executing at each node. Mutual exclusion is implemented at the node level.
  3. Processes are competing for a single resource.
  4. At any time, each process initiates at most one outstanding request for mutual exclusion.
- **Request:** which is a queue of MHs; its entries are addresses to MHs that currently requesting the CS in this region.
  - **Grant:** which is a queue of MHs; its entries are addresses to MHs that will enter the CS sequentially in this region.

Three new types of messages that are communicated between a MSS and the MHs in its region are also added:

The proposed idea should consider the following aspects about the reliability of the communications [15]:

- Message delivery guaranteed.
- Message-order preservation.
- Message transfer delays are finite, but unpredictable.
- The topology of the network is known.

- **MHREQUEST:** a MH sends this message to its MSS when it wishes to enter the CS.
- **MHGRANT:** this message is sent by a MSS to a MH in its region allowing it to enter the CS.
- **MHRELEASE:** a MH sends this message to its MSS when it exits the CS.

Like DRS algorithm, assuming that the nodes in the system are  $(N_1, N_2, \dots, N_n)$  but in this algorithm it stands for the  $n$  MSSs in the system, another system component which is the MHs need also to be considered in this version. So assume we have  $m$  MHs each with a unique identifier  $(M_1, M_2, \dots, M_m)$ .

A general snapshot of the system is shown in Figure 2. Which describe how the MHs communicate with their local MSS, and How MSSs connected through logical ring.

Also in this version of DRS algorithm we must consider the following assumptions:

1. The nodes (even MH or MSS) have unique node identifiers, (i.e. node  $i$  have identifier  $N_i$ ).
2. A node failure does not occur.
3. Communication links are bi-directional and FIFO.
4. Communication links failures are predictable, providing a reliable communication.
5. A partition in network does not occur.

## 5. EXECUTION RULES OF THE ADAPTIVE (DRS) ALGORITHM

### 5.1 Variation from DRS

Handling of some events will differ from the ordinary node DRS, and new events will occur. This is explained through the following rules:

#### 4.1.2 Description

In this version the MHs need to maintain only 2 data structures:

- **Status:** CRITICAL, WAITING or REMINDER, as discussed above. Note that a MH cannot be in SYNCHRONIZER state since it is the MSSs that will do synchronization in this algorithm.
- **Mss:** this contains the address of the local MSS.

**Rule1:** Handling a REQUEST message: when a REQUEST message arrives at a node (here node is MSS), it checks its status, if it is not SYNCHRONIZER it simply forwards the message to next. If it is SYNCHRONIZER, the node checks busy, if other node currently uses the CS, SYNCHRONIZER adds the requesting node to the end of the queue.

To add a node to the end of the queue, the SYNCHRONIZER checks its queue pointer, if it is not nil a message is prepared with the address of the requesting node and sent to the node in queue, then queue is set to the address of the requesting process, else, the address of the requesting process is stored in queue. However, if no node is currently CRITICAL, a GRANT message is sent to the requesting node, the address of the requesting node is stored in critical and busy is set to TRUE.

Two new data structures need to be maintained by each MSS in this algorithm:

**Rule2:** Handling a GRANT message: when a node receives a GRANT message from the SYNCHRONIZER, it sets status to CRITICAL and saves the address of SYNCHRONIZER in synch, and then it moves all entries in the request queue to



the grant queue. All MHs in the grant queue are then sent a MHGRANT message in turn.

**Rule3:** Handling a RELEASE message: when the SYNCHRONIZER receives a RELEASE message, it changes its status to REMINDER, sets busy to FALSE, and sends YAS message to the node that completed the CS (i.e. node from which it received the RELEASE message). Together with the message, the SYNCHRONIZER sends the address of the node currently at the end of the queue (current contents of queue), sending nil if no nodes currently in the queue.

**Rule4:** Handling a YAS message: when a node receives a YAS message, if it is not in REMINDER state, it forwards the message to next, and sends a CHANGE message containing next to critical (note that it knows critical from the YAS message). However, if the node is currently in REMINDER state, it should handle the message. First, it changes its status to SYNCHRONIZER. Then, the node checks the contents of queue, if not nil, a GRANT message is sent to the node in queue and busy is set to TRUE. After that, the node stores the address attached with the message in queue.

**Rule5:** Handling an ADD message: when a node receives an ADD message, it stores the address in the message in queue.

**Rule6:** Handling a CHANGE message: when a node receives a CHANGE message, it overwrites its synch to the address in the message.

**Rule7:** Requesting the CS: when a MH wishes to enter the CS it prepares a MHREQUEST message containing its address and send it to its local MSS, then waits for a MHGRANT from the MSS.

**Rule8:** Handling a MHREQUEST message: when a MHREQUEST message arrives at a node, the requesting MH is first added to the tail of the request queue. The node then checks status, if it is WAITING or CRITICAL, nothing is done. If status is REMINDER, the MSS prepares a REQUEST message containing its address and sends it to next, then changes its status to WAITING. If status is SYNCHRONIZER and busy is TRUE, the SYNCHRONIZER send ADD message with the address of itself and sent to the node in next, then queue is set to the address of the SYNCHRONIZER, then SYNCHRONIZER sends a YAS message to the node in next to make it the

SYNCHRONIZER, then it sets status to WAITING. In case that status is SYNCHRONIZER and busy is FALSE, SYNCHRONIZER sets busy to TRUE, stores its address in queue, send MHGRANT message to the requesting MH, sends a YAS message to the node in next and finally changes status to CRITICAL.

**Rule9:** Handling a MHGRANT message: when a MHGRANT message arrives at an MH, it enters the CS.

**Rule10:** Exiting CS: when a MH exits the CS, it sends MHRELEASE message to local MSS and changes status to REMINDER.

**Rule11:** Handling a MHRELEASE message: when a MHRELEASE message arrives at an MSS, it checks grant queue, if not empty the next MH in the queue is sent a MHGRANT message, else, it sends a RELEASE message to the SYNCHRONIZER (using the address stored in synch). And change its status to REMINDER.

### Pseudo code

Accordingly, theDRS algorithm in [16] will be modified as follows:

Rule1: When a REQUEST( $N_j, N_i$ ) is received by a node  $N_j$ :

- if status  $\neq$  SYNCHRONIZER, REQUEST(next,  $N_i$ ).
- if status = SYNCHRONIZER and busy = FALSE.
  - GRANT( $N_i, N_j$ ).
  - critical =  $N_i$ .
  - queue =  $N_i$ .
  - busy = TRUE.

1. if status = SYNCHRONIZER and busy = TRUE.
  - ADD(queue,  $N_i$ ).
  - queue =  $N_i$ .

Rule2 & Rule11: When a GRANT( $N_i, N_j$ ) is received by node  $N_j$ :

1. synch =  $N_j$ .
2. status = CRITICAL.
3. grant = request
4. while grant not empty
  - remove  $M_j$  from head of grant
  - 
  - MHGRANT( $N_i, M_j$ )
  - Wait MHRELEASE( $M_j, N_i$ )





5. RELEASE(synch,  $N_i$ ).  
6.status = REMINDER.
- Rule3: When a node  $N_j$  receives RELEASE( $N_j$ ,  $N_i$ ):
- YAS( $N_i$ , nil, queue).
  - status = REMINDER.
  - busy = FALSE.
- Rule4: When a node  $N_j$  receives YAS( $N_j$ ,  $N_i$ ,  $N_k$ ):
1. if status  $\neq$  REMINDER.
    - forward YAS(next,  $N_i$ ,  $N_k$ ) to next.
    - CHANGE( $N_i$ , next).
  2. if status = REMINDER.
    - status = SYNCHRONIZER.
    - if queue  $\neq$  nil
    - GRANT(queue,  $N_j$ ).
      - busy = TRUE.
      - queue =  $N_k$ .
- Rule5: When a node  $N_i$  receives an ADD( $N_i$ ,  $N_j$ ):
1. queue =  $N_j$ .
- Rule6: When a node  $N_i$  receives CHANGE( $N_i$ ,  $N_j$ ).
1. synch =  $N_j$ .
- Rule7: When a MH  $M_i$  requests access to the CS:
1. status = WAITING.
  2. MHREQUEST( $M_i$ , mss).
- Rule8: When a node  $N_j$  receives MHREQUEST( $M_i$ ,  $N_j$ ):
1. Add  $M_i$  to request.
  2. if status = REMINDER.
    - REQUEST(next,  $N_j$ ).
    - Status = WAITING.
  3. if status = SYNCHRONIZER and busy = TRUE.
    - ADD (next,  $N_i$ )
    - queue =  $N_i$
    - YAS (next, queue)
    - Status = WAITING
  4. if status = SYNCHRONIZER and busy = FALSE.
    - Busy = TRUE
    - queue =  $N_i$
    - MHGRANT( $N_i$ ,  $M_j$ )
    - YAS (next, queue)
    - Status = CRITICAL
- Rule9: When a MH  $M_i$  receives MHGRANT( $N_j$ ,  $M_i$ ):
1. status = CRITICAL.
  2. Enter CS.
- Rule10: When a MH  $M_i$  exits the CS:
- 1.status = REMINDER.
  - 2.MHRELEASE( $M_i$ , mss).
- Rule11: When a node  $N_i$  receives MHRELEASE( $M_j$ ,  $N_i$ ):
- 1.if grant empty
  - 2.RELEASE(synch,  $N_i$ ).
  - 3.status = REMINDER

**5.2 Example on ADRS**

In Figure2, while MSSs receives MREQUEST message from their MHs , MSS1 initiate a REQUEST message that follow the logical ring to MSS2 then to SYNCHRONIZER (MSS3) which in its turn check busy, since there are no nodes (MSSs) currently CRITICAL it send GRANT message to MSS1.

When MSS1 received GRANT message, this will move all MHs in the request queue to grant queue, where they allowed entering the CS sequentially as FIFO based. While MSS1 CRITICAL, MSS3 Receive another REQUEST message

from MSS4, since MSS3 busy flag is true it placed MSS4 in its queue.

**6. CORRECTNESS OF THE ALGORITHM**

**6.1 Mutual Exclusion**

To show that the algorithm achieves mutual exclusion, we have to show that two or more nodes can never execute the CS simultaneously; that is, one node exits the CS before the next node can enter the CS. This will be shown by contradiction.

Assume that two nodes  $N_i$  and  $N_j$  are executing the CS simultaneously. This means that both nodes have received a GRANT message from the SYNCHRONIZER MSS.

But according to our algorithm, a GRANT message is sent only by the SYNCHRONIZER(MSS) when it receives a REQUEST message and busy is FALSE, but even if busy is TRUE, the node will wait its turn to enter the CS. So in both cases (no other process is currently in the CS).

**6.2 Deadlock Freedom**

The system of nodes is said to be deadlocked when no requesting node can ever proceed to critical



section, and this can occur as a consequence of any of the following situations:

- either, no node is SYNCHRONIZER or SYNCHRONIZER node is not aware that other nodes request critical section.

As mentioned above one node must be initiated as SYNCHRONIZER, and while the algorithm is working the YAS message is used to transfer the synchronization state from one node to another.

Rule 1 in adaptive DRS shows how the SYNCHRONIZER becomes aware when other nodes require the grant to enter the critical section, it either stores the address of the requesting node in its queue or sends it to the node in its queue when busy. True, which in turn serves the waiting, this is applied on both sides either between MSSs or MHs within the cell, so our algorithm is deadlock free.

### 6.3 Freedom from starvation

Starvation occurs when few sites repeatedly execute their CS while other sites wait indefinitely for their turns to do so. It means that there exists a node (call

$N_i$ ) that can enter the CS two or more times before another node in WAITING state (call  $N_j$ ) can enter the CS, but according to the DRS algorithm, when a node  $N_i$  exits the CS, it changes its state to REMINDER, and to enter the CS again it must send a REQUEST message. Using rule 1, if there are any other nodes in WAITING state (node  $N_j$ ), node  $N_i$  will be added to the queue after node  $N_j$ . So, node  $N_j$  will enter the CS before node  $N_i$ . Accordingly, there is no starvation.

## 7. PERFORMANCE ISSUES

The number of messages generated per critical section invocation has traditionally evaluated the performance of most distributed mutual exclusion algorithms. Also, a useful mutual exclusion algorithm is characterized as fair to all nodes in the distributed system, being starvation-free and deadlock-free [2, 13].

Adaptive Dynamic Resource Synchronizer (ADRS) mutual exclusion algorithm reduces the message traffic generated due to CS execution, the number of messages incurred is much lower than in some other algorithms according to system assumptions that illustrated previously, and our implementation results. This is achieved because the nodes within the cell have to send at most three messages, and this happens because of the existence of MSS, and there is no logical ring. Every node makes a request directly to the Synchronizer which in its turn

decides to put the node in the queue or grant it the CS.

### 7.1 Best case performance

If we look to the performance between the MSSs: It happens when the synchronizer is the immediate neighbor from the direction of sending, and no one is waiting for the resource, in this case:

Number of messages to enter and exit CS = 1(REQUEST)+1(GRANT)+1(RELEASE) [16]

Suppose the E is the message transfer time, so number of messages will be = 3E

And waiting time in queue = 0 [16]

- But if we discuss the performance within the cell itself (between MHs): which is done when there is only one node in the MSS queue

So its = 1(MHREQUEST)+1(MHGRANT)+1(MHRELEASE), so its 3E in best case.

Waiting time can be calculated as follows:

Assume:

M : number of the MHs within the cell

$M_q$ : number of the nodes in the queue

T: the time needed to access the CS, we assume that it is fixed for all nodes

At best case there are no nodes in the queue the requester will enter the CS directly so  $M_q=0$ .

Waiting time = waiting time in queue + execution time in CS

$$= (M_q * T)$$

$$= 0 * T$$

$$= 0$$

### 7.2 Worst case performance

Again the performance between the MSSs, which is like the MHs in the DRS, it happens when the synchronizer is in the far middle of the ring (longest path node), and all other processors want the resource (waiting in queue) and each one of them will use the resource for the longest possible time (max resource use).

Number of messages to enter and exit CS = 1(REQUEST)\*(n/2)+(n-1)(GRANT)\*(n-1)/2+(n-1)(RELEASE)\*(n-1)/2

$$= E((n/2)+(n-1)(n-1)/2+(n-1)(n-1)/2)$$

And waiting time in queue = (n-1)\*max time for allocating the resource.

Where n = number of processors. [16]



- The performance within the cell itself (between MHs): here is the enhancement in performance appeared using the ADRS algorithm,

$$\text{Number of messages to enter and exit CS} = 3E * M$$

This case happens if all of nodes try to enter the CS at the same time.

Comparing it with the DRS, we notice that the total number of messages will be increased, so the network traffic will be decreased also.

**Waiting time in queue**

$$= (Mq) * T$$

$$= (M-1) * T$$

These results abbreviated in table 1.

Table 1: Comparison between DRS and ADRS

Algorithm	Message# (Best case)	Message# (Worst case)
DRS	3E	$E((n/2)+(n-1)(n-1)/2+(n-1)(n-1)/2)$
ADRS	3E	3E*M

E: Message transfer time  
 T: Max time in critical region  
 N: number of nodes  
 M: number of nodes / cell (ADRS)

Also, we add a new Characteristic to the network which is the network become incrementally growth. The power energy of the nodes will be saved, because they will not involved in every GRANT or RELEASE process as in DRS algorithm, every node will just send and receive its own messages . Just MSS must have the higher energy within the cell.

**8. CONCLUSION**

We have presented an ADRS mutual exclusion algorithm for mobile networks. We directed the reader to the full proof that this algorithm provides mutually exclusive access to a critical section. We also have developed a technique to improve the fairness of the algorithm, as shown above we proof our algorithm correctness, so no starvation and no deadlock. These key points arise also in our implementation on the algorithm.

We also compared the performance of the ADRS algorithm with the previous version DRS algorithm, to show that this algorithm enhanced the performance when it is reduce the number of messages to be transferred, so it minimize the

traffic load in the network, this is happen because of the MSS existence.

Moreover, using the ADRS the network growth will be achieved and energy saving also.

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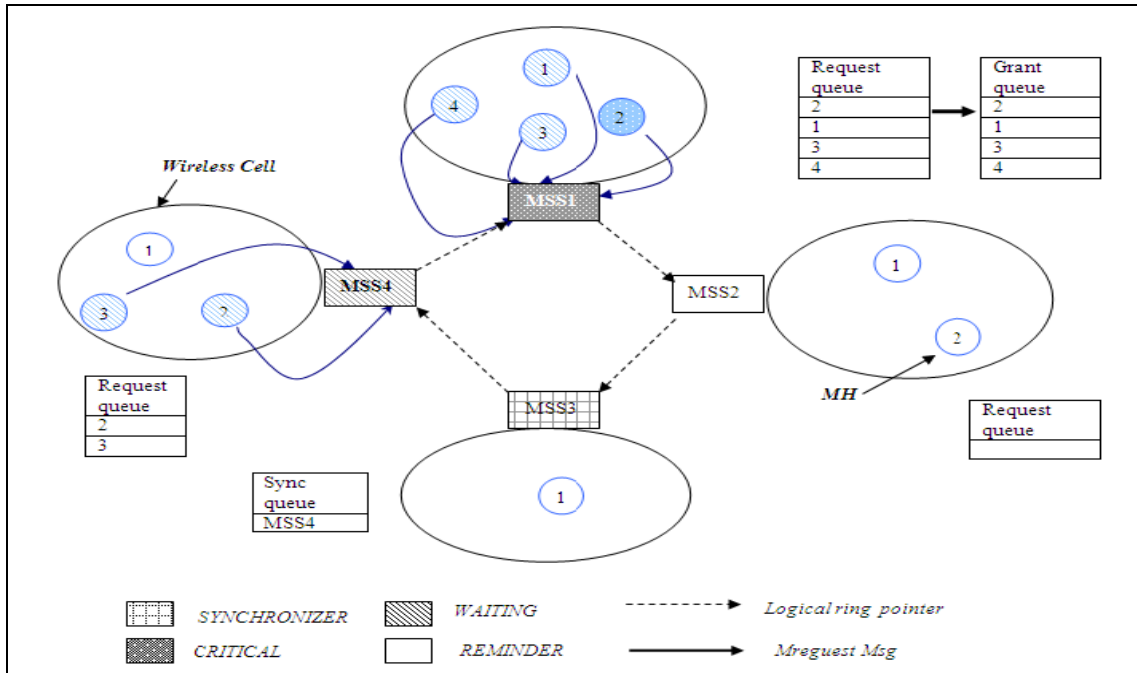


Figure 2: Snapshot For Mobile System Using Adaptive Version Of DRS Algorithm