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AN OVERVIEW OF IDENTIFICATION AND ESTIMATION NUTRIENT ON PLANT LEAVES IMAGE USING MACHINE LEARNING

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ABSTRACT

Lack of nutrients affects plant growth and causes plant damage. Deficiency of macronutrient such as nitrogen, potassium, calcium, and phosphorus are big problem for agriculture and its prevention will be very useful for agro-industry. The destructive methods for identifying nutrient deficiencies are soil analysis, plant tissue analysis which requires expert knowledge and laboratory testing, but the test results are not necessarily accurate due to human error. Non-destructive methods such as computer vision can help digital farmer who lack knowledge of botany to identify macronutrient deficiencies. Identification and estimation of macronutrient deficiencies using computer vision consists of several stages, namely data acquisition, preprocessing, segmentation, feature extraction, to identification and estimation method. Image data in the form of RGB, NIR, etc. Several researchers have conducted studies to identify and estimate macronutrient deficiencies using different method. These methods are traditional methods such as rule based to K-Nearest Neighbor (KNN), Linear Regression, Artificial Neural Networks (ANN), Deep Learning with various architectures, and others. Several studies have their respective results and limitations, therefore this paper focuses on reviewing current research developments and providing an overview of the work and challenges in the future. The result of the comparative study is that Deep Learning such as CNN is a promising method because most studies can identify macronutrient deficiencies with an accuracy of more than 80%. However, there are still some challenges such as overcoming overlapping images with complex backgrounds, identification of multi-deficiencies, and estimation of the content of each macronutrient in RGB images.

Keywords: Deep Learning, Classifier, Feature Extraction, Macronutrient Deficiency, Image Processing.

1. INTRODUCTION

Nutrients has important role for plant growth and development [1],[2]. Nutrients contain macronutrients and micronutrients. Some of macronutrients such as N, P, K, Ca, Mg, S elements are required in large quantities (>1000 mg/kg dry matter). Otherwise, micronutrient such as Iron, Mn, Zn, Cu, Cl, B and Mo are required in small amounts (<100 mg/kg dry matter) [1],[3],[4]. If the nutrients are not in accordance with the needs of the plant, it affects the plant growth and can cause plant damage[5],[6]. Leaves are one of the plant parts that show visual symptoms due to nutrient deficiency

Plant that are lack of nutrient shows obvious symptoms in the colour, shape and leaf texture [4],[7]. Each leaf on a particular part of the plant represents a specific deficiency trait. For example, Ir or Ca deficiency shows a visual effect on young leaves [8], [9]. For K, visual characteristics can be observed on leaves in the middle part of plant [10],[11], and for Mg, N, or Mn deficiency on leaves in the bottom plant [1],[12]. These characteristics shows on Figure 1 and in Table 1.

Table 1: Key Symptoms of Nutrient Deficiencies [11]

Nutrient	Key symptoms
Ca	Irregularly shaped leaves, curling
Ir	Interveinal chlorosis (turning yellow between
	veins)
Mg	Interveinal chlorosis, necrosis (cell injury),
N	Stunted growth, uniformly yellowish leaves
Р	Curling leaf tip, brown scorching,

Identification of macronutrient deficiencies can use two methods such as destructive and nondestructive method[13]. The destructive is usually

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carried out by soil analysis, plant tissue analysis which requires expert knowledge and laboratory testing, but the test results are not necessarily accurate due to human error[1],[14]. Therefore, identification using non-destructive methods such as computer vision can help digital age farmers who lack knowledge of botany to identify macronutrient deficiencies relatively easily [2], [15], [16]. Some steps to identify nutrient deficiencies are plant recognition, plant segmentation, feature extraction, classification and even estimation of nutrient content [16]-[18].



Figure 1: The Different Visual Characteristics of Black *Gram Leaves Under Macronutrient Deficiencies* [11]

Many studies have tried to reduce and solve problems related to the identification process of macronutrient deficiencies in plants. They have applied a combination of several types of image processing, pattern recognition and classification tools [7], [19], [20]. However, their research still opens gaps so that there are many new challenges that must be completed. Some of them are identification of complex backgrounds in real time. estimation of the percentage of deficiencies to support precision agriculture, and others. Therefore, this paper attempts to organize and present each study in a meaningful and useful way so that the challenges are clearly identified. The sections of this paper are structured as follows: Part II describes the theory and stages of image processing. Section III describes a comparative study for case classification and estimation, then discusses the results. Sections IV and V describe the discussion, challenges, and conclusions of the paper.

2. IMAGE PROCESSING METHOD

There are several steps to do image processing. The first step is image acquisition where an image must be captured by a certain camera type. There are several types of image such as hyperspectral image [21], [22], [23], RGB image [9], [18], [24], and etc. The next step is preprocessing, segmentation, and feature extraction. Image feature extraction is useful for pattern analysis of each type of nutrient deficiency [25]. Some estimation methods are used to estimate certain level of deficiency. Figure 2 shown the processes in digital image processing.

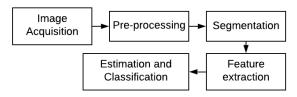


Figure 2: Steps for Estimation and Identification nutrient deficiency leaves image

2.1 Pre-processing

The image pre-processing is the initial step. The purpose of preprocessing is to enhances important image features for further processing [26]. Several categories of image pre-processing methods are pixel brightness and geometric transformations, a local neighborhood of the processed pixel, and image restoration [18],[27]. Pixel Brightness Transformation modify pixel brightness and methods such as grayscale, histogram equalization, and brightness correction [28]. Geometric transform is [15]. often approximated by the bilinear transformation[27]. A local neighborhood of the pixel are Nearest Neighbor and Brightness Interpolation. Last, Image restoration methods are min, max, mean, and median [28], [29].

2.2 Segmentation

Segmentation plays an important role in image processing [8], [30]. Segmentation step has important role to detect specific object and separate object from background [31]. In that process, image will be partitioned into various subgroups of pixels. Image segmentation usually partitions objects from the background based on certain features such as pixel intensity, colors, textures, and etc. [9], [17].

2.3 Feature Extraction

Feature extraction is the next step after segmentation phase. The purpose of feature extraction is to get the required information from the object in a lower dimensional space [32]. But,

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there are several methods that has their automatic feature extraction such as CNN, and etc [7], [10], [33].

2.4 Classification and Estimation

Classification is the process of categorizing and labeling groups of pixels or vectors within an image based on specific rules [34]–[36]. Two general methods of classification are supervised and unsupervised [10], [37]. Supervised method is the process of visually selecting samples (training data) within the image and assigning them to pre-selected categories to create statistical measures to be applied to the entire image [5], [8]. Unsupervised method is a fully automated process without the use of training data such as clustering and pattern recognition [10].

Estimation has attracted significant research effort[38]. Estimation is not always about doing calculations, it is important for system to be able to estimate how many things system can see, or how long something is or how big something is [36]. But, in precision agricultural system nutrient content is important so nutrient solution will be given precisely. Several common methods for estimation are regression, neural networks, and etc. [7], [39].

3. LITERATURE REVIEW

Nutrient deficiency symptoms in plants usually visible in leaves [32]. The symptoms are interveinal chlorosis, uniform chlorosis, marginal chlorosis, distorted edges, etc. [16]. Based on the symptoms, nutrient deficiency is difficult to detect subjectively [26]. Therefore, this paper discusses objective recognition using digital image processing.

3.1 Data Acquisition dan Augmentation

Some research collects plant data using different camera type such as RGB, red edge, infrared, and others [40], [41]. Red edge and infrared camera are usually work to capture big area as like tea [21], Oil palm [42], and etc [40]. The other camera type is near infrared that usually use to capture wheat plants [43], and others [3], [22], [40]. But, camera type that common used for capture image in hydroponics environment is RGB. RGB has been used to many plants such as pomegranate [18], tomatoes [34], [40], pepper[44], paddy[24], [45], [46] and etc [47], [48]. Characteristics of each camera type are shown on the Figure 3.

Leaf hyperspectral reflectance can be used to estimate nutrient concentrations in plants in a narrow band of the electromagnetic spectrum for tea and wheat plants [14], [21], [49], [50]. In addition, a multispectral image has also been developed for the estimation of N in sandalwood leaves based on the changes of reflectance in different bands [50]. Not only crop, hyperspectral has also captured a single cucumber leaf image for N and P content estimation [23], [51].

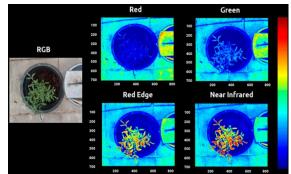


Figure 3: Types of Images Produced by the Sensor after Shifting and Clipping the Area of Interest [40]

Near infrared camera contributes to many studies to evaluate plant health [22]. The visible and near infrared cameras can produce an index, namely the Normalized Difference Vegetation Index (NDVI) which is the best index for evaluating plant health based on the green vegetation [22], [52]. But, the image result characteristics is different with human view as shown on Figure 3. where RGB image camera works like the human eye which is sensitive to RGB light bands [34]. Due to the high price of near infrared cameras, RGB can be an alternative to measure plant health. RGB cameras have similar index with NDVI, namely the Visible Atmospherically Resistant Index (VARI) [53], [54].

Some cameras are useful for generating RGB image datasets [24], [55]. Dataset consists different number of images and categories. More than 500 images of tomatoes plant in 4 categories of deficiencies [34], [56], [57] has been collected, 270 datasets in 4 categories [58], even more than 4000 images of Vigna mungo plants [8]. Most of the image are leaves or plants in complex background [56], [58]. Combination of 1000 images of coffee young and old leaves with deficiencies in Boron, Ca, Fe, N, P, K, Mg, and Zn has been used [59]. They found that the combine image supports more useful information than a single image[60].

Augmentation is the process of modifying an image [25], [61]. Several studies used augmentation to enrich datasets, especially for learning methods to avoid an overfitting [62],[45]. Then, duplicate a number of image until each class has the same amount of data boosts the accuracy until 5% [61].

3.2 Preprocessing

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The preprocessing phase aims to improve image quality. But, some research that use deep learning method such as CNN[11] and RCNN [58] did not used preprocessing. Image data need to reduce some noise, adjusts the image ratio and brightness correction [62], [63]. In identification macronutrient issue, some common preprocessing methods are brightness correction and image resizing [15]. Resizing is useful to reduce processing time [64]. Maize leaf image and the other data are resized from 3024x4032 to 28x28 pixels to make an equal dimensions [55], [65][55]. Resizing image can be done manually [12], [66], [67] or using methods such as Gabor filter such as in maize plant images[68].

In digital image processing, datasets can be represented to different color models. There are several color models such as RGB, YUV, HE, CIELAB, YCbCr, HSV and others. [25]. Color model conversion aims to limit the size and type of color space[69]. RGB image converted to HIS and HSV for multi-plant [68], [70], [55], [71]. It's not only HSV and HIS model, converting the images into binary values and grayscale for threshold and segmentation has been done [59], [72] as shown on Figure 4.

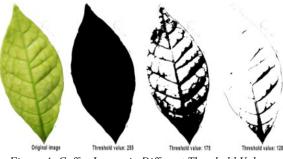


Figure 4: Coffee Leaves in Different Threshold Value [59]

The images need to enhances such noise reduction and discarded low contrast before go through to the next process[73]. The median filter is noise reduction method that adopts a non-linear digital filtering technique [59], [62], [64]. Furthermore, other research applied contrast enhancement to RGB images to emphasize the leaf color using gamma transformation[6]. Increase and decrease the contrast affects the information contain in the image [15]. RGB image convert to CIE L*a*b and, Each component subjects to a contrast enhancement using histogram equalization to highlight the ROI [27], [74]. The result shows on Figure 5.

Enhanced RGB images are useful for next process. For example, the image that uses

thresholds to obtain Hu and Fourier descriptor [27]. Then, the combination of deep sparse extreme learning machine (DSELM) and GA fusion can reduce color variability due to variations in light intensity [48]. Then, image adjustment before segmentation were applied using Otsu and K-means clustering [55].



Figure 5: A Leaf Before and After Noise Elimination [27]

There are several pre-processing procedures for hyperspectral image [14]. The suturing process and median filter also apply in multispectral images [50]. Another preprocessing method is Sliding window smoothing (SWS) that integrated with Multiplicative Scattering Correction (MSC) or Standard Normal Variable transformation (SNV) for wheat reflectance spectrum images. Spectroscopic samples of visible and near infrared light at a wavelength of 450,900 nm were processed using SWS, MSC and SNV. The experimental results show that use of SWS and SNV achieve the most accurate estimation [43].

3.3 Segmentation

Segmentation is a crucial part in digital image processing, especially to detect object in complex background. Machine learning with image segmentation shows better result than without segmentation [72]. Sometimes, not all of the researches use segmentation [7], [75]. Several segmentation method are analyzing pixel, morphology, the ROI (Region of Interests) [76], edge detection (Sobel, Prewitt, Robert method) and K-means clustering method [68], [69].

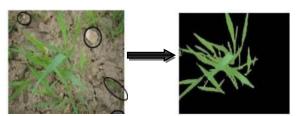


Figure 6: Original and Segmented Image using DSELM and GA [48].

One of the segmentation based on pixel values is using mean and binary conversion lab image[39,64], hue based and histogram based Otsu

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for tomato and coffee leaves [26], [75], [78]. But, several methods above are applied to single leaf images with an uncomplex background. To do segmentation leaf image in complex backgrounds as like Figure 6, a combination of several approach is required [17].

Based on Figure 6, there are several step to do segmentation in complex background using DSELM and GA[48]. Segmentation step divided into two parts such as masking green pixels and extract useful segments [48][71]. They process images using digital image processing technology to eliminate background noise and interference [18]. Besides GA, other methods such as CNN-DeepLabV3 architecture can segment rice leaf images [46].

3.4 Feature Extraction

Extraction feature is useful for retrieving information from images. Feature extraction retrieves information such as color, texture, shape, geometry, and others [32], [42], [79]. Some studies only analyze one feature, but other studies combine them [7].

Leaf color is usually useful as feature for estimate nutrient content and assessing plant health [80]. Paddy leaves color plays important role to identify nutrient deficiencies, especially for Nitrogen component [12]. Leaf color is used as a feature analyze three RGB channels [34], [70], [81]. RGB color has been used to detect brown spot disease on Paddy leaf [45], [82]. HSV is other color model that can be used to extract information based on H,S,V statistical value[12], [26]. Healthy leaves are dominant in green color, whereas unhealthy leaves are not dominant in green and it can be extracted using Fuzzy C-mean and NN [24], [55], [83]. Therefore, leaves color distribution such as variance, mean, kurtosis, and skewness are extracted and utilized as predictors [48], [76], [84].

GLCM is a method that extracts color information. GLCM is used for feature extraction with 4 different angles to obtain 4 GLCM Color features of both healthy [26], [64]. But, not only for color feature, GLCM is useful for considering texture features such as contrast, entropy, etc. [9], [64],[57]. GLCM extracts the texture features from ventral, dorsal and a combination of them sides of leaf images [85]. Combination of dorsal-ventral shown better result. Statistical values such as mean have also been used for texture in multispectral images[50]. Positive and negative correlations between different texture features can produce Texture Average Value Index (TMVI) and increase linear correlation [50].

Several research has used combine feature [24] [27][86]. Color, shape, and texture features are important parameters in feature extraction [59,61]. A combination GLCM, hue and color histogram has been used for analyze maize plant [64]. The extracted features are RGB values and texture value of the leaves[10]. And then, the other research applied the descriptors Blurred Shape Model (BSM) and GLCM for extract the characteristics of shape and texture in coffee leaves images [9]. The characteristics of RGB color and Sobel edge detection for leaf shape detection has been used [87], [88]. The use of different features affects the result. First, combination of RGB color and Sobel edge detection produces 65.36% of accuracy. Second, RGB color extraction produces 70.25% of accuracy. Last, Sobel edge detection produces 59.52% of accuracy [89]. The combination of features in most studies can not always improve the results for both identification and estimation tasks.

Several studies have separate feature extraction methods with identification systems, but others combine them so that the feature extraction process occurs automatically[46], [56]. Most of them was using CNN [84], [90], [61], [91]. A research automatically extracts and computes leaf color similarity with the reference colors[38]. They accomplished the feature extraction process automatically using ResNet50 deep pre-trained model [60]. Then, Stacked Sparse Autoencoder (SSAE) network is useful for studying the intrinsic features of leaf RGB images automatically through so that compact feature data is obtained [18].

3.5 Identification of Nutrient Deficiency

Image processing methods have their own characteristics. To do learning, the number of dataset effects the result model. More training data will get a better modeling result and the lack of data makes the training less so that affects its accuracy[92].

There are two methods to identify nutrients in plants, they are supervised and unsupervised machine learning [10], [37]. Supervised learning algorithms rely on labeled input data to learn the relationship between data and labels. Unsupervised is an opposed to supervised learning [10]. Supervised learning are usually used to solve simple task such classification or regression problems [38] So, it is quite difficult to perform complex tasks. Unsupervised learning is useful to solve complex tasks such as clustering even though they require high costs [10].

Several of the methods without learning are rule based method, pattern analysis, and etc.

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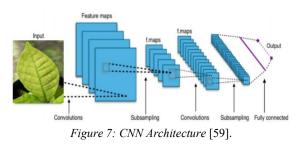
Detection of brown spot disease on paddy leaf has been done using Horsfall and Heuberger method [76]. Then, statistics information are useful to determine unhealthy leaves based on the number of blobs, if the number of blobs are more than 90 so the object is unhealthy [76]. The pattern range and color properties of defective leaves are computed and stored in database. The database is useful for rule based [12], [59]. Rule based classify a test image as healthy or unhealthy and cannot fix high dimensional data [12]. One of the methods that can overcome for high dimensional data is Random forest technique with 78.35% of accuracy [64].

Combination of machine learning with image processing techniques can handle high dimensional data for identification nutrient deficiency [68], [93]. K-NN could be a method for classification study when there is little or no prior knowledge about the distribution data [71]. KNN has been compared with other method such as J48, Naïve Bayes, Partial Least Square (PLS), Classification and Regression Tree (CART), Classification Tree(CT). Highest accuracy is 86,52% to analyze dorsal-ventral combine with statistical feature using KNN method [85]. Then, the results of the image representation are used to train the KNN, Naïve Bayes and Neural Network classifiers using the extracted features. It was taken into consideration the use of K = 1nearest neighbors and neural network was a 3-layer perceptron trained using the backpropagation algorithm[9]. Other studies uses logistic regression, SVM, and MLP to identify nutrient deficiency of black gram plants. The MLP models achieved superior performance [60].

Each deficiency effects the performance of the model. ANN has been used and the research result shows 93% accuracy for magnesium deficiency and 68% accuracy for Sulfur [26]. Other methods that common uses for identification is SVM [22]. The goal of SVM is to outline the features based on GLCP parameter into higher dimensional feature space. The number of iterations also affects accuracy. For example, SVM classifier achieve accuracy using up to 500 iterations [69]. Then, the other proposed work is to identify individual N,P,K and combination of NP, PK and NPK deficiencies in a leaf at a same time. These features of multi plants image are combined and fed to the SVM classifier 85%[24].

One of the methods that needs learning is Learning Vector Quantization (LVQ) [34], [94]. LVQ is a method in Artificial Neural Networks for learning supervised layers. Pattern classification method with each unit-output representing a particular class or category. Training and testing are using the LVQ algorithm by processing a total of 500 feature vectors obtained from the original image with 86 of accuracy average [34]. Different filters or convolution sizes affect the recognition rate in the classification.

A Convolutional Neural Network (CNN) is a Deep Learning algorithm which extracting the features from input image automatically and adaptively from low to high level features [79], [82], [95]. Then, it requires a minimum preprocessing compared to other classifier method [4], [7], [96]. CNN has been compared with ANN, MLP, and SVM shown best result because the convolutional layer learn multi color or texture images[45]. CNN provides the predicted nutritional deficiencies in the coffee plants through classification and detection [65], [75]. Otherwise, CNN has been used to determine nitrogen fertilizer recommendation [46]. The Stochastic Gradient Descent with Momentum (SDGM) algorithm for training can generate a suitable trained CNN model (2 hyperparameters) [6]. CNN to identification nutrient deficiency of black gram plant used different specification such as three convolutional layers (of size 11x11, 5x5, and 3x3 pixels), two max-pooling layers, and two Fully-connected layers (each with 2.048 nodes). An example of CNN architecture shown on Figure 7. Prediction results are integrated together using a winner-takes-all strategy. Finally, the multilayer perceptron combines the predicted results from all leaf blocks into one so that the type of nutrient deficiency is known [11]. However, the CNN method uses an automatic feature extraction feature so it is difficult to analyze the effect of features combination on the results obtained [59], [97], [98]. An example of CNN architecture shown on Figure 7.



There are several deep CNN model thas has been created [95]. They investigated the following deep CNN models: AlexNet, GoogLeNet, Inception-v3, Xception, ResNet50, MobileNet, MobileNetV2, and IncResNetV2 [62], [67], [73], [75]. This study shows that CNN with Densenet-121 architecture is the best deep CNN model with an accuracy around 97% [61]. In addition, VGG16,

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VGG19, ResNet101, DenseNet121, DenseNet169, DenseNet201 has been compared [61], [75], [78]. Inception-ResNet v2 based CNN is applied to distinguish mineral nutrients (i.e. Ca and K) with the captured images of tomato plant [33]. Based on several studies using same data, Inception-V3 requires low cost, but the accuracy is also the lowest. The longest computation time is VGG19 and the highest accuracy is VGG16.

Besides CNN, DCNNs have been tested and it obtained accuracies for over 90% and outperformed two traditional machine learning methods, color feature with SVM and HOG with SVM [75]. CNN collaborates with other methods and produces good results like CNN+SVM [72]. CNN combine with Artificial Hydrocarbon Network (AHN) as dense layer has been used with an accuracy of 95.57% and F1-score of 95.75% [56]. CNN and Generative Adversarial Networks (GANs) combination results 98% of accuracy on the number of real-time images[90]. Transfer learning and Fine tuning can be used to do pattern recognition after training VGG16 Neural Network[87]. In several cases, deep learning did not gave promising results because of data complexity, so the computer detects multi detection [58]. Table 2 and Table 3 present a summary of the identification research using the Machine Learning and Deep Learning methods.

Plant	Preprocessing	Feature Extraction	Classifier	Result and Gaps	Reference
Paddy	Manual resize to be 256x256 and Median Filter	RGB feature (0-255)	Rule based	improving the database and refining the rules can improve the accuracy. But this work can be used for high dimension data.	[99]
Paddy	RGB to HSV	HSV color model	Rule based	The use of HSV color model increase 86% of accuracy. However, rule-based methods cannot cope with complex data.	[12]
Coffee	Segmentation using Otsu method	BSM and GLCM to extract Shape and Texture	KNN, Naïve bayes, NN	the best results associated with the GLCM for Iron. But, result model are not robust for all nutrients	[9]
Maize	RGB to HSV, Gabor filter for resizing and noise filtering, K-Means for segmentation.	Shape, texture, and Histogram based feature	Autoencoders, ANN, SVM, KNN	Histogram based feature show best performance than shape and texture. Feture combinations are not used.	[68]
Mango	Gaussian Filters	Texture RGB (0-255)	K-Means Clustering	Provide better accuracy for unlabeled data. unable to overcome nutrient deficiency with non-coloured symptoms	[10]
Black gram	There is no pre- processing	ResNet-50		MLP model performs the best result with 88.33 of % accuracy. Research has not yet detected the percentage of deficiency.	[60]
Cucumber	RGB value	characteristics of RGB and Sobel edge detection for leaf shape	Artificial Neural Networks	Combination of RGB color extraction and sobel edge detection did not show a highest accuracy.	[89]
Tomato	Noise removal, RGB to HSV, and segmentation using hue and histogram-based Otsu	GLCM and LOG	Artificial Neural Networks	Highest accuracy are 93% for Magnesium and the lowest is 68% for detecting Sulphur.	[26]
Tomato	Resizing, filtering using salt and pepper method, grayscale, then contrast enhancement	Statistical feature such as mean, variance, skewness, and SD, GLCM	ANFIS	Cannot detect nutrient deficiency for deficiency traits in only one leaf	[57]
Coffee	Contrast improvement using SIFT, Thresholding to obtain Hu and Fourier descriptors	Fourier, Hu, and SURF descriptor.	Neural Network	The model does not recognize Calcium well because almost all leaves are Calcium deficiency	[27]
Olive tree	RGB to HSV colorspace, masking	YGB , percentage of RGB value	ML, decision tree and Naïve Bayes models	machine learning model showed the best results with 97% of accuracy. But, they only used color information.	[70]
Multi Plant	Thresholding to get ROI	Statistical analysis such as Mean, SD, etc.	BP-NN, Random Forest, Naive Bayes and SVM	The lowest result was obtained for the earliest measured stage of plant.	[37]

Table 2: Research Summary	of Nutrient	Deficiency	Identification	Issue using Machine	Learning

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Table 2: Research Summary of Nutrient Deficiency Identification Issue using Machine Learning (Continue)

Plant	Preprocessing	Feature Extraction	Classifier	Result and Gaps	Reference
Maize	Resizing image and	GLCM, Hue-	Random Forest	Several nutrients have the same	[64]
	Median filter	Histogram, Color	Technique	characteristics, so it is difficult	
		Histogram	_	to identify it.	
Cucumber	Reduce image dimensions and ROI	Feature extraction by PCA and ICA	KNN, BP-ANN	BP-ANN shows best accuracy (97.5%). The research only considers one type of deficiency	[23]

Table 3: Research Summary of Nutrient Deficiency Identification Issue using Deep Learning

Plant	Preprocessing	Feature Extraction	Classifier	Result and Gaps	Reference
Chili	There is no pre-	Automated FE	3 RCNN	inception v2 mask model	[58]
	processing		topologies	produces the lowest total loss	
				and the fastest time. RCNN	
				Mask method has not been able	
				to classify in as much detail as	
				desired by experts	
Tomato	Contrast enhancement	Automated FE	CNN model has	It does not consider the light	[6]
	and image resize		three degrees of	conditions and the effect of the	
D1 1	D		depth	contrast enhancement.	51.13
Black gram	Divide into small	Automated FE	CNN (winner	the proposed method cannot	[11]
	blocks.		take all strategy	achieve highly accurate	
The state of the s			is used)	identification	[22]
Tomato	There is no pre-	Automated FE	Inception-ResNet	The overall result of test	[33]
	processing		v2 based CNN	accuracy reaches approximately	
				92% but the system has not been	
D1 1			U D	implemented in the real system	F01
Black gram	There is no pre-	Automated FE	Various Deep	ResNet50 with data	[8]
	processing		CNN	augmentation achieved the best	
				performance (65.44%) but the	
Tomato	RGB	Automated FE	CNDL 1 LVO	accuracy is still low. the leaves with different diseases	[24]
Tomato	KGB	Automated FE	CNN and LVQ		[34]
				are very similar to each other so	
Multi Plant	These is a sure	Automated FE	CNN	the accuracy is around 86%.	[05]
Multi Plant	There is no pre-	Automated FE	CININ	the model only searches for	[95]
Banana	processing Data augmentation	Automated FE	Neural	colours in the leaf images The model has very high	[25]
Banana	Data augmentation, RGB convert to YUV,	Automated FE	Network.	accuracy and minimal error by	[25]
	HE, CIELAB, YCbCr		VGG16 model.	data augmentation. Other	
	and HSV.		v GG10 model.	features need to be considered.	
Multi Plant	preprocessing task	CNN is used to extract	ELM-based	proposed modifed CNN model	[96]
Multi Fiant	using SMOTE	the patterns of leaf	classfiier.	obtains improved training and	[90]
	using SIVIOTE	images	classifici.	testing classication accuracy but	
		intages		it is only at the simulation stage	
Coffee	Grayscale, Binary	Automated FE	CNN	Detection rates depend on size	[59]
conce	values for thresholding		CINI	and threshold value. It has not	
	and segmentation			been implemented	
Rice Plant	There is no pre-	Automated FE for	DCNN, SVM,	that the learning rate of 0.00001	[75]
Teree I funt	processing	DCNN and color	HOG SVM	was most suitable for the model	[[/3]
	processing	feature for SVM	1100001111	to run 50 epochs for training.	
				DCNN shows best accuracy than	
				SVM and HOG SVM. Features	
				combination will be considered	
Okra Plant	Resizing image to 299 x	Automated FE	Inception	the amount of data for several	[87]
	299 and data		ResNet-v2	deficiency only produces 2	L
	augmentation using		CNN	classes	
	ImageDataGenerator				
Rice	Segmentation using Mean	Color using Ybr and HSI	CNN, Deep	CNN with image segmentation	[72]
	Shift image. Then, RGB	value, shape using	learning CNN +	shows better result than without	
	image is convert to	traditional shape feature	SVM, AlexNet,	segmentation. But, CNN +SVM	
	grayscale, YCbCr and	such as area, roundness,	VGG	best result. Other feature such as	
	HSI	shape complexity		texture needs to be considered	
Rice	Otsu segmentation,	Pre-trained ResNet50	CNN denseNet-	DenseNet-BC adopts a non-	[78]
	median filter, and size	model to extract the	BC	linear transformation (96% of	
	is decrease	functionality		accuracy). region based object	
				detection can be utilized to	
		1	1	extract the deficient regions	1



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Plant	Preprocessing	Feature Extraction	Classifier	Result and Gaps	Reference
Rice	Add background to solve	Automated FE	CNN model Self-	CNN Densenet-121 is the best	[61]
	different image size,		Design,	accuracy around 97% and	
	rescaled image to		GoogleNet,	duplicated a number of image	
	500x500 and 224x224.		ResNet,	until each class has 500 data	
	RL for augmentation		DenseNet121,	boosts the accuracy by 5%. Their	
	until each class has 500			CNN model self-design	
	data			produces the lowest of accuracy	

Table 3: Research Summary of Nutrient Deficiency Identification Issue using Deep Learning (Continue)

3.6 Estimation of Nutrient Deficiency

Several classification methods above only determine the type of nutrient deficiency. Even though the level of nutrient deficiency affects the nutrient solution that will be given [50]. To support precision farming systems, the system can also analyze the percentage of macronutrient deficiencies. One of the ways that can be used to determine the percentage of nutrient deficiency is to estimate the nutrient levels. Several studies has been done for estimate nutrient content based on leaves plant images and will be discussed in this paper.

Macronutrient such as Nitrogen and chlorophyll content has been estimated. There are several ways to estimate chlorophyll content such as using SPAD and Computer Vision [7], [18]. A prediction model between RGB leaf image features and its SPAD (arbitrary units) value was established to predict the chlorophyll content in the paddy leaf [38], [46]. The results show that the deep neural network in this study resulted in higher accuracy and automation of detecting chlorophyll content than traditional machine learning methods. [18]. SVM as a classifier is trained and tested using several kernel functions such as RBG, MLP, and Polynomial kernel[55]. Then, estimate nutrient content in wheat leaves has been done by analyze color features of the leaf images. The leaves captured on field with various lighting conditions. To estimate nitrogen content in wheat leaves, a number of DSELMs and committee machine had combined and optimize using the GA[48]. Therefore, there was low cost Chlorophyll estimator using Field Programmable Gate Array (FPGA). It shows that nonlinear terms provides best fit than estimated terms multivariate regression model [80].

Other studies have determined the nutritional content of rice plants [75]. They used digital numbers (DN) from smartphones that were reliable to predict the nitrogen (N), phosphorus (P), and potassium (K) content in rice. The best formula is N = 0.0035 * DN + 0.8192 (*R*2 0.84), N = 0.0035 * DN + 0.8192 (*R*2 0.84), and P = 0.0049 * DN - 0.2042 (*R*2 0.70) [82].

In addition to determining the percentage, estimation can take place by determining the level of deficiency. Olive tree estimation has 5 deficiency levels. Machine learning models, decision trees and naive bayes were compared to analyze RGB image data. The result is that the machine learning model obtains the highest accuracy, which is 97%. Another study compared the PCA, KNN, BP-ANN methods for the estimation of macronutrient deficiency. The result is that the BP-ANN model yields the best model with possible evidence to diagnose whether the plant was in a P-deficient state 21 days earlier [23].

Other image type to estimate leaf nutrient concentrations is leaf hyperspectral reflectance [22], [37]. One of the studies identified and estimated using PCA and Multi Linear Regression methods on cucumbers. The 95% identification results and the MLR model have succeeded in modeling the relationship between color bands and chlorophyll concentration as shown in Figure 8 [51].

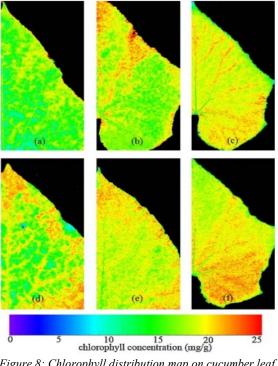


Figure 8: Chlorophyll distribution map on cucumber leaf based on near infrared hyperspectral image [51].

Another study analyzed leaf hyperspectral leaf image using the Partial Least Squares Regression



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(PLSR) method [40]. The first derivative was more accurate for predicting N, P, and S. The estimates for concentrations of Ca, Mg, and K were using the logarithm transformation and for iron concentrations were using the smoothed reflectance. Br and Mn were more accurate using the first derivative, while Zn using the second derivative[14]. Nonlinear mixed-effects model with dummy variables improved the fitting degree and estimation accuracy compared with results of SVR and BPNN and exponential shown the best result. Then, the relationship between the relative chlorophyll content of leaves and the reflectance spectrum resulted in a specific model using partial least squares (PLS) and back-propagation neural networks [43].

Wheat chlorophyll content has been estimated using different method such as BP-ANN, KNN, and Stepwise-Based Ridge Regression (SBRR). SBBR is a method to do multiple regression with adding or remove predictor variable. The comparisons and verification show that SBRR approach achieve better experimental results and can be considered a reliable and low-cost alternative for estimating the chlorophyll content of wheat leaves in field[7]. Other studies found that Regression nonlinear terms provides best fit between estimated terms. That's because the residuals are near in baseline and the adjusted coefficient of determination is very significant[80]. Table 4 present a research summary of the nutrient deficiency estimation Issue.

Table 4: Res	search	Summary	of Nutr	ient D	efici	ency E	stimation	Issue	

Plant	Preprocessing	Feature Extraction	Method	Result and Gaps	Reference
Multi-	Grayscale and resize image	Color using HIS	Analyze the	the model cannot handle	[55]
plant	using Otsu, K-Means, RGB to	value, texture using	feature of image	data under the different	
	HIS	GLCM		light conditions	
Wheat	Calculate varians and	Statistical feature	Multivariate	only estimates the amount of	[80]
	standard deviation of RGB	from RGB color	linear regression	chlorophyll present in plant	
	image		_	leaves is sufficient or not	
Wheat	Segmentation using	Color	a number of	single color features and	[48]
	DSELM and GA		DSELMs and	their combinations are not	
			committee with GA	suitable to estimate nitrogen	
Multi-	Segmentation using	Color feature using	Deep Neural	The model is still in design	[18]
plant	Thresholding, noise processing,	Stacked Sparse	Network	stage.	
	and background separation	Autoencoder (SSAE)		-	
Eucaly	First and second Derivative,	Color feature	PLSR	each nutrient has high	[14]
ptus	Leaf reflectance logarithmic			performance in different	
	transformation			methods	
Wheat	Sliding Window Smoothing	-	PLSR	the combination of	[43]
	(SWS) and Standard Normal			multiple preprocessing is	
	Variable Transformation			an effective way to	
	(SNV)			improve the accuracy	
Wheat	Iterative Threshold	Statistical feature	stepwise-based	Mean feature b* value from	[100]
	Segmentation, Multiple	from RGB and La*b*	ridge regression	the La*b* are correlated with	
	color spaces Calculation	Color space	(SBRR), BP-	chlorophyll content. But, the	
			ANN, KNN	resulting accuracy is still low,	
				which is less than 80%.	
Tomato	596 images of 3024 x 4032	a set of convolutional	CNN+AHN+	CNN+AHN+PCA produces	[56]
	px size were stored in the	layers as the feature	PCA layer	95.57% of accuracy. Then,	
	dataset	extraction part,		data acquisition is still done	
				manually	
Cucumber	the average spectra obtained	Using statistic value	PCA and Multi	MLR produce correlation	[51]
	from the ROI in leaf	such as Min, Mean,	Linear	between chlorophyll	
	hyperspectral images were	Max, SD from each	Regression	concentration and color of	
	extracted	pixel	(MLR)	image.	
Rice	Rectification	Visible light, RGB	Linear	It reliable to predict the NPK	[82]
		Digital Number (DN)	Regression	content in rice. the model is	
				not designed to be robust	
				against different lighting	
Olive	RGB to HSV colorspace,	YGB value percentage	ML model,	Best result is using	[70]
	masking		Decision Tree	Machine Learning model	
1			and Naïve Bayes		

4. FUTURE WORK AND DISCUSSION

Identification of nutrient deficiency in plants using image processing is a challenge in recent times. Many studies have contributed to resolving several challenges. Based on the analyses, it is proved that the image processing technology can

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support the development of farming automation to accomplish the advantages of low price, high efficiency, and high accuracy. Since the development of monitoring technology in smart farming systems, the challenges are getting bigger. This paper presents a discussion of new challenges that can be solved in the future.

4.1 How to separate object in complex background and fix the overlapping object

There are two types of data, namely field data and individual plant data. Field data is usually acquired by a camera at a certain height [47], [48], [100], while individual crop data is captured at close range [11]. Therefore, it is not surprising that usually field data can be processed using color characteristics without any segmentation process. individual data can be captured under certain conditions with a set environment. But in real monitoring systems, usually there are not only plants in one location. Data usually capture on complex background conditions[48]. Preprocessing such as image segmentation with several methods can be applied to complex backgrounds [17], [101]. However, usually there are overlapping objects in the form of leaves or fruit that are found, making it difficult to extract features in the form of shapes. Methods to overcome overlapping objects in the real environment can be a challenge for future research.

4.2 Identification nutrient deficiency in different light intensity

There are several types of agriculture, such as systems in controlled and uncontrolled environments [47]. A system in a controlled environment is a system that has no impact on changes in weather until the season[48]. It means that the system is arranged in such a way, but what about the system in an uncertain environment. One of the things that is always change in real environment is light condition[7], [21]. Different light conditions affect the result of the task for both identification and estimation. Several identification studies have been carried out [21], [55], [80], but they have not been able to solve the problem of lighting differences in the acquired image.

4.3 Identification of multi nutrient deficiency

In real systems, it found that plants often lack more than one type of nutrient. Some of them are NP, NK, PK, NPK, MgS and so on [12], [24]. Several systems have been established for the identification of certain multi-nutrients, but the plant environment is a specific environment. So, how to identify multi nutrient deficiencies in real systems with an uncertain environment?

4.4 Estimation percentage of Nutrient deficiency

To build a precision farming system, the system doesn't just identify but the system have to calculate and provide an optimal solutions[14], [36], [50]. In the nutrient supply system, to find out how much nutrient supply is optimal, the system has to know the amount of nutrients that plants need first. Therefore, we need a system that can estimate plant nutrients, not only one type of nutrient but many nutrients. So, nutrient that given are based on plant needs. Most studies have tried to estimate macronutrient deficiencies [56], but they are still limited to NIR images and cannot be applied to monitoring systems [50]. In addition, most studies have not been able to estimate several types of macronutrients [47], [50].

4.5 Smart Farming

The current era is the digital era. The challenge is how to engage technology to help and facilitate work that is difficult for humans to do. One of them is to monitor the health condition of plants and determine the solution. Most of the research is still doing image data acquisition manually [96], whereas data acquisition can be done automatically with IoT [9]. After data acquisition, the image processing process can also run automatically until the condition of plants that lack macronutrients is known and the percentage of deficiency is known. The system is also expected to be able to perform calculations automatically so that the number of solutions and actions that must be given are carried out automatically by the system

5. CONCLUSION

Plant health is influenced by several things such as pests, diseases, environmental factors, and nutrient deficiencies. Unhealthy plants usually have observable visual features such as discoloration, abnormal number of leaves, etc. Digital image processing is a suitable method for processing visual data from leaves. Several studies of digital image processing with various methods to diagnose deficiency symptoms in plants are presented in this paper. The use of leaf characteristics such as color, texture, and shape affects the accuracy of the results. Some studies that combine features can produce higher accuracy, some do not. However, the use of the same amount of data for each class has proven to be successful in increasing the

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accuracy of the model. Several non-destructive methods such as traditional rule-based methods to deep learning have been applied. Deep Learning CNN with various architectures is proven to be able to recognize nutrient deficiencies based on leaf image data. However, there are several new challenges, such as how to overcome overlaps in leaf segmentation to how to estimate the content of each nutrient in plants.

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